# CIS 5371 Cryptography

3. Probability & Information Theory

### Basic rules of probability

#### Notation

- Events:  $S, \emptyset, E, F, ..., E \cup F, E \cap F, ...$
- $\overline{E} = S \setminus E$ ,  $\Pr[\overline{E}] + \Pr[E] = 1$
- $\Pr[S]=1, \Pr[\varnothing]=0, 0 \le \Pr[E] \le 1$
- $Pr[E \cup F] = Pr[E] + Pr[F] Pr[E \cap F],$
- $E \subseteq F \Rightarrow \Pr[E] \leq \Pr[F]$
- $\Pr[F \mid E] = \frac{\Pr[E \cap F]}{\Pr[E]}$

#### Basic rules of probability

An Experiment can yield one of *n* equally probable outcomes.

- Event E1: One of the *n* values occurs.
- Pr[E1] = 1/n
- Event E2: m of the n values occur.
- Pr[E2] = m/n
- Event E3: An Ace is drawn from a pack of 52 cards
- Pr[E3] = 4/52 = 1/13

# Basic rules of probability

#### Binomial Distribution:

$$\Pr[k \text{ succeses in } n \text{ trials}] = \binom{n}{k} p^k (1-p)^{n-k}$$

Bayes' Law:

$$Pr[E | F] = Pr[F | E] \frac{Pr[E]}{Pr[F]}$$

#### Birthday Paradox

Let  $f: X \to Y$  where Y is a set of n elements

Event  $E_{k,\varepsilon}$ :

for k pairwise distinct values  $x_1, x_2, ..., x_k$  the probability of a collision  $f(x_i) = f(x_j)$ , occurs for some  $i \neq j$ , is at least  $\varepsilon$ 

*Birthday Paradox*: If  $\varepsilon = 1/2$  then  $k \approx 1.1774\sqrt{n}$ 

## Information Theory

#### Entropy (Shannon)

- The entropy of a message source is a measure of the amount of information the source has
- Let  $L = \{a_1, \dots, a_n\}$  be a language with n letters.
- *S* is a source that outputs these letters with independent probabilities  $Prob[a_1], \ldots, Prob[a_n]$ .
- The entropy of S is:

$$H(S) = \sum_{i=1}^{n} \Pr[a_i] \log_2 \left(\frac{1}{\Pr[a_i]}\right) bits$$

### Information Theory

#### Example

A source S outputs a random bit.

Its entropy is:

$$H(S) = \Pr[0] \log_2 \left(\frac{1}{\Pr[0]}\right) + \Pr[1] \log_2 \left(\frac{1}{\Pr[1]}\right)$$
$$= \frac{1}{2} (1) + \frac{1}{2} (1) = 1 bit$$