

# The Complexity of the Routing Problem in POR

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**Abstract**—We have designed and implemented a new protocol for wireless mesh networks called Practical Opportunistic Routing (POR). In this report, we document our finding about the complexity of the routing problem in POR. We prove that the routing problem is NP-hard by reducing a slightly modified version of the MAX-2-SAT problem to the optimal routing problem in POR.

## I. PRELIMINARIES

We have proposed a new packet forwarding and routing protocol for wireless mesh networks called Practical Opportunistic Routing (POR) [2]. As we have mainly focused on the practical design and implementation of POR in [2], in this report, we document our findings about the theoretical routing problem in POR. We begin with a brief review of the routing metric in POR; the details can be found in [2].

Suppose the path is  $(v_1, v_2, \dots, v_L)$ . Any POR path must satisfy the *feedback constraint*; that is, any node on the path must be able to receive from its next hop node to ensure the correct reception of possible feedbacks from its downstream nodes. POR considers both the *forward cost* for sending data and *backward cost* for sending feedback. The path cost calculation is carried out iteratively, starting from the node closest to the destination. Therefore, when calculating the cost of the path, the forward and backward costs of path  $(v_i, v_{i+1}, \dots, v_L)$  are known for  $2 \leq i \leq L$ , denoted as  $C_{v_i}$  and  $B_{v_i}$ , respectively.

### A. Path Cost in a Given State

Suppose the links involving  $v_1$  are in a certain set of states denoted as  $\tau$ .

1) *Forward Cost*: The *forward cost* of the path is denoted as  $C_{v_1}^\tau$ , which is defined as the consumed air time in data transmission in order to deliver a unit size block to the destination when the links are in state  $\tau$ . We denote the BRR of link  $v_1 \rightarrow v_i$  at rate  $\rho_j$  as  $\mu_i^{\rho_j, \tau}$ . We use  $C_{v_1, \rho_j}^\tau$  to denote the air time consumed to deliver a unit size block to  $v_L$  following path  $(v_1, v_2, \dots, v_L)$ , under the condition that  $v_1$  transmits at rate  $\rho_j$ . We have

$$C_{v_1, \rho_j}^\tau = \frac{\frac{1}{\rho_j} + \sum_{i=2}^L \mu_i^{\rho_j, \tau} \prod_{t=i+1}^L (1 - \mu_t^{\rho_j, \tau}) C_{v_i}}{1 - \prod_{i=2}^L (1 - \mu_i^{\rho_j, \tau})}. \quad (1)$$

$C_{v_1}^\tau$  is  $C_{v_1, \rho^*}^\tau$  if  $\rho^*$  has the minimum cost among all rates.

2) *Backward Cost*: The *backward cost* at  $v_1$  is denoted as  $B_{v_1}^\tau$  and defined as the consumed air time in feedback transmission in order to deliver a packet to the destination.

We let

$$\gamma_i = \frac{\mu_i^{\rho^*, \tau} \prod_{t=i+1}^L (1 - \mu_t^{\rho^*, \tau})}{1 - \prod_{i=2}^L (1 - \mu_i^{\rho^*, \tau})}.$$

The backward cost is calculated according to

$$B_{v_1}^\tau = \gamma_2 B_{v_2} + \sum_{i=3}^L \gamma_i [B_{v_i} + (1 - \mu_2^{\rho^*, \tau}) \eta_2 + \sum_{t=3}^i \eta_t] \quad (2)$$

where  $\eta_t$  denotes air time  $v_t$  uses to send one feedback, which can be calculated according to the rate  $v_t$  uses to send feedback and the simplifying assumption that each feedback frame contains exactly 8 feedbacks.

### B. Path Cost in Multiple States

We find in our experiments that the links can be in multiple states. As a response, the rate selection algorithm can converge to different data rates. The cost of a path is simply the weighted average of the path costs in each individual set of states, where the weight of a set is the probability that the links are in this particular set of states.

## II. NP-HARDNESS PROOF

Our proof is based on a modified version of the MAX-2-SAT problem [1]. In the original version of MAX-2-SAT problem,  $N$  binary variables and  $S$  clauses are given where each clause contains exactly two literals in the *or* operation, where a literal is either a binary variable or the negation of the variable. The goal is to find an assignment of the binary values of the variables such that maximum number of clauses are satisfied. We define a similar MAX-2-SAT problem in which the operation inside the clause is *and* as the AMAX-2-SAT problem.

*Theorem 1*: The AMAX-2-SAT problem is NP-hard.

*Proof*: Given any instance of the original MAX-2-SAT problem, we create an instance of the AMAX-2-SAT problem as follows. For any variable  $x_i$ , create two types of shadow variables denoted as  $x_i^1$  to  $x_i^3$  and  $y_i^1$  to  $y_i^G$ , where  $G > 100S$ . For notational simplicity, we also use  $x_i^0$  to denote  $x_i$ . We refer to  $x_i^0$  to  $x_i^3$  the *set-1 variables* and  $y_i^1$  to  $y_i^G$  the *set-2 variables* for  $x_i$ . For each pair of set-2 variables  $y_i^u$  and  $y_i^v$ , create two clauses:  $(\neg y_i^u \wedge \neg y_i^v)$  and  $(y_i^u \wedge y_i^v)$ . For each  $x_i^t$  and  $y_i^u$  where  $0 \leq t \leq 3$  and  $1 \leq u \leq G$ , create two clauses  $(\neg x_i^t \wedge \neg y_i^u)$  and  $(x_i^t \wedge y_i^u)$ , where  $10S < g < \frac{G}{10}$ . Group all clauses in the MAX-2-SAT instance according to the variables in the clauses; clauses involving the same two variables belong to the same group. Clearly, each group contains at most 4 clauses. Give indices to the clauses in the same group; for example, for

the clauses involving  $x_i$  and  $x_j$ ,  $(\neg x_i \vee \neg x_j)$ ,  $(\neg x_i \vee x_j)$ ,  $(x_i \vee \neg x_j)$ ,  $(x_i \vee x_j)$  are indexed as 0, 1, 2, 3 and denoted as  $C_0^{i,j}$  to  $C_3^{i,j}$ , respectively. Convert each *or* clause in the MAX-2-SAT instance to 3 clauses in the *and* form such that one of the *and* clauses will be true if the original clause is true. Then, replace the variables in the converted *and* clauses with the shadow variables, e.g.,  $x_i$  in  $C_t^{i,j}$  is replaced with  $x_i^t$ .

We first claim that set-2 variables for  $x_i$  will take the same values. This is because if there are certain variables taking different values from the majority, by converting them to take the same value as the majority, there will be an increase of at least  $G - 1$  satisfied clauses among the clauses involving only the set-2 variables. By so doing, there will be a decrease of at most  $4g$  satisfied clauses among the clauses involving one of the set-2 variables and one of the set-1 variables. Therefore, the set-2 variables must take the same value because  $G > 10g$  in our construction. We next claim that the set-1 variables also take the same value as the set-2 variables. This is because if one set-1 variable takes a different value, by changing its value, there will be an increase of  $g$  satisfied clauses among the clauses involving one of the set-2 variables and one of the set-1 variables. By so doing, there will be a decrease of at most  $3S$  satisfied clauses among the clauses involving only the set-1 variables. Therefore, the set-1 variables must take the same value because  $g > 10S$  in our construction.

Given any optimal solution in the constructed AMAX-2-SAT instance, if there are  $N$  satisfied clauses among the clauses involving only the set-1 variables, we can use the same assignment and obtain  $N$  satisfied clauses in the MAX-2-SAT instance, and vice versa. ■

We next introduce a new problem called the *Distance-Weighted MAX-2-SAT* (DWM-2-SAT) based on the AMAX-2-SAT problem. We define the *distance weight* of a clause involving  $x_i$  and  $x_j$  as  $|i - j|$ . The DWM-2-SAT problem is defined as: Given  $N$  binary variables indexed from 1 to  $N$  and  $S$  *and* clauses with two literals, find an assignment such that the sum of the weights of the satisfied clauses is maximized.

*Theorem 2:* The DWM-2-SAT problem is NP-hard.

*Proof:* Given any instance of the AMAX-2-SAT problem, we construct an instance of the DWM-2-SAT problem as follows. First, for any variable  $x_i$ , create two shadow variables denoted as  $x_{i+D1}$  and  $x_{i+D2}$ , where  $D1$  and  $D2$  are large constants, i.e.,  $D1 > SN$  and  $D2 > S(D1 + N) + D1$ . Then, for any clauses in the AMAX-2-SAT instance involving  $x_i$  and  $x_j$ , if  $i < j$ , replace  $x_j$  with  $x_{j+D1}$ . Also, introduce 4 shadow clauses for each variable:  $(\neg x_i \wedge \neg x_{i+D2})$ ,  $(x_i \wedge x_{i+D2})$ ,  $(\neg x_{i+D1} \wedge \neg x_{i+D2})$ , and  $(x_{i+D1} \wedge x_{i+D2})$ . Then, create dummy variables to fill in the gaps of the indices; the dummy variables are not in any clauses.

We first claim that  $x_i$  and  $x_{i+D1}$  must take the same value in the optimal solution for the constructed DWM-2-SAT instance. Suppose this claim is not true and there is an optimal solution in which  $x_i$  and  $x_{i+D1}$  take different values. As a result, exactly one of the shadow clauses for  $x_i$  is satisfied. On the other hand, if we change  $x_i$  and  $x_{i+D1}$  to be the same as  $x_{i+D2}$ , between the shadow clauses, the weighted sum is

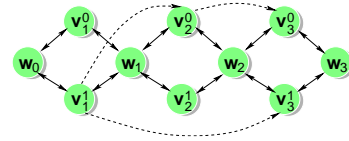


Fig. 1. Constructed OPOR instance for a DWM-2-SAT instance with three variables and three clauses:  $(x_1 \wedge \neg x_2)$ ,  $(x_1 \wedge x_3)$ , and  $(\neg x_2 \wedge \neg x_3)$ .

increased by at least  $D2 - D1$ . Among the original clauses, due to the change of  $x_i$  or  $x_{i+D1}$ , the weighted sum is decreased by at most  $S(D1 + N)$ , which is still less than  $D2 - D1$ . Therefore, this contradicts the fact that the solution is optimal.

We next claim that the optimal solution for the DWM-2-SAT instance actually maximizes the number of satisfied original clauses. To see this, consider two assignments denoted as A1 and A2 which satisfy  $N1$  and  $N2$  original clauses, respectively, where  $N1 > N2$ . The weighted sum of A1 among the original clauses is greater than  $N1D1$ , while the weighted sum of A2 among the original clauses is less than  $N2(D1 + N)$ , which is less than  $N1D1$  because  $D1 > SN$  in our construction. ■

*Theorem 3:* The Optimal Practical Opportunistic Routing (OPOR) problem is NP-hard.

*Proof:* Given any instance of the DWM-2-SAT problem, we construct an OPOR instance as follows. Assume there is no partial packet. First, introduce a source node denoted as  $w_0$ . For any variable  $x_i$ , create three nodes denoted as  $v_i^0$ ,  $v_i^1$ , and  $w_i$ . Create links from  $v_i^0$  to  $w_i$  and from  $v_i^1$  to  $w_i$ . Also create links from  $w_{i-1}$  to  $v_i^0$  and from  $w_{i-1}$  to  $v_i^1$ . Such links are referred to as *main links* and can only operate at a specific rate with PRR of 1. The reverse direction of the main links operate at a much higher data rate with PRR of 1. There are *overhearing links* from a  $v$ -node to another  $v$ -node depending on the DWM-2-SAT instance. Suppose  $i < j$ , there is an overhearing link from  $v_i^0$  to  $v_j^0$ , from  $v_i^0$  to  $v_j^1$ , from  $v_i^1$  to  $v_j^0$ , and from  $v_i^1$  to  $v_j^1$  if clauses  $(\neg x_i \wedge \neg x_j)$ ,  $(\neg x_i \wedge x_j)$ ,  $(x_i \wedge \neg x_j)$ , and  $(x_i \wedge x_j)$  are in the DWM-2-SAT instance, respectively. The PRR of the overhearing link is denoted as  $\beta$  which is a positive but very small number, e.g.,  $\beta < N^{-100}$ . The reverse direction of an overhearing link has PRR of 0 over all rates. The goal is to find an optimal path from  $w_0$  to  $w_N$ . Fig. 1 shows an example of the construction.

Given this construction, we make the following claims:

- 1) If  $w_i$  is on the path, at least one between  $v_i^0$  and  $v_i^1$  must appear immediately before  $w_i$ , due to the feedback constraint.
- 2) If  $v_i^0$  (or  $v_i^1$ ) is on the path,  $w_{i-1}$  must also be on the path and appear immediately before it due to the feedback constraint.
- 3) Every  $w$ -node is on the path. Clearly,  $w_N$  must be on the path. Suppose  $w_i$  is not on the path and is the one with the largest index. Therefore, neither  $v_{i+1}^0$  nor  $v_{i+1}^1$  is on the path. However, this contradicts that  $w_{i+1}$  is on the path.
- 4)  $w$ -nodes appear on the path in order. If not, there must exist a  $w_i$  and  $w_{i+1}$  where  $w_{i+1}$  appears earlier than  $w_i$  on the path. Suppose  $v_{i+1}^0$  appears before  $w_{i+1}$ .

However, this contradicts that  $w_i$  must appear before  $v_{i+1}^0$ .

- 5) In any path, between  $w_{i-1}$  and  $w_i$ , there is exactly one node, either  $v_i^0$  or  $v_i^1$ . Suppose there are more than one node. Without loss of generality, suppose  $v_i^0$  is between  $w_{i-1}$  and  $w_i$ . If  $v_i^0$  appears immediately before  $w_i$ , it cannot send feedback to the node between itself and  $w_{i-1}$  because it can only send feedback to  $w_{i-1}$ . If  $v_i^0$  appears immediately after  $w_{i-1}$ ,  $w_i$  can send feedback to its previous hop only when its previous hop is  $v_i^1$ ; however,  $v_i^1$  cannot send feedback to its previous hop because it can only send to  $w_{i-1}$  while  $v_i^0$  is between them.
- 6) A path will always be in the form of  $w_0, v_1^{x_1}, w_1, v_2^{x_2}, w_2, \dots, w_N$  where  $x_i$  is a binary number.

We note that the optimal path need only optimize the forward cost because the feedback cost is small. We claim that the optimal path is the one that maximizes the total number of bypassed main links by the overhearing edges. We denote the number of overhearing links originated at  $v_i^x$  as  $R_i$ . Among the links, suppose the  $b_{th}$  shortest overhearing link bypasses  $s_b^i$   $w$ -nodes. Let  $S_i = \sum_{b=1}^{R_i} s_b^i$ . We define  $d_i = C_{v_i^x} - [2(N-i) + 1]$ . It is clear that  $d_i \leq 0$  and  $C_{w_i} = C_{v_{i+1}^x} + 1 = 2(N-i) + d_{i+1}$ . Normalizing the data rate to 1, we have

$$C_{v_i^x} = 1 + (1 - \beta)^{R_i} C_{w_i} + \sum_{b=1}^{R_i} \beta(1 - \beta)^{R_i - b} [2(N - i) + 1 - 2s_b^i + d_{i+s_b^i}]$$

Therefore,

$$C_{v_i^x} < 1 + (1 - \beta)^{R_i} 2(N - i) + (1 - \beta)^N d_{i+1} + \sum_{b=1}^{R_i} \beta(1 - \beta)^{R_i - b} [2(N - i) + 1 - 2s_b^i].$$

As a result

$$d_i < (1 - \beta)^N d_{i+1} + [1 - (1 - \beta)^N] - \beta(1 - \beta)^N 2S_i$$

As  $d_N = 0$ , for  $i < N$ , based on simple induction, we have

$$d_i < (N - i)[1 - (1 - \beta)^N] - \beta \sum_{j=i}^N (1 - \beta)^{(j-i+1)N} 2S_j$$

and therefore

$$d_1 < N[1 - (1 - \beta)^N] - \beta(1 - \beta)^{N^2} \sum_{j=1}^N 2S_j \quad (3)$$

On the other hand,

$$C_{v_i^x} > (1 - \beta)^{R_i} [2(N - i) + 1] + d_{i+1} + \sum_{b=1}^{R_i} \beta(1 - \beta)^{R_i - b} [2(N - i) + 1 - 2s_b^i + d_{i+s_b^i}],$$

therefore,

$$d_i > d_{i+1} - \beta 2S_i + \beta \sum_{b=1}^{R_i} d_{i+s_b^i}$$

We prove that

$$d_i > -\beta \sum_{j=i}^N 2S_j - \beta^2(N - i)N^3,$$

which is clearly true when  $i = N$ . Suppose it is true till  $d_{i+1}$ . For  $d_i$ , we note that

$$\begin{aligned} d_i &> -\beta \sum_{j=i+1}^N 2S_j - \beta^2(N - i - 1)N^3 - \beta 2S_i \\ &= -\beta \sum_{j=i+1}^N [\beta \sum_{t=j}^N 2S_t] - \beta \sum_{j=i+1}^N \beta^2(N - j - 1)N^3 \\ &> -\beta \sum_{j=i}^N 2S_j - \beta^2(N - i)N^3 \end{aligned}$$

Therefore,

$$d_1 > -\beta \sum_{j=1}^N 2S_j - \beta^2 N^4 \quad (4)$$

Considering Eq. 3 and Eq. 4, given any two paths, the one with a larger  $\sum_{j=1}^N 2S_j$  will have a lower cost.

It is then clear that an optimal solution for the constructed OPOR instance leads to an optimal solution for the original DWM-2-SAT instance because each overhearing link exploited in the optimal path is a satisfied clause. ■

## REFERENCES

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