## Lecture 2

## Languages, Grammars, and Automata

COT 4420<br>Theory of Computation

## Languages <br> Definitions

- Any finite, nonempty set of symbols is an alphabet or vocabulary.

$$
\begin{aligned}
& \Sigma=\{A, B, C, D, \ldots, Z\} \\
& \Sigma=\{0,1\} \\
& \Sigma=\{\square, \text { if, then, else }\}
\end{aligned}
$$

- A finite sequence of symbols from the alphabet is called a string or a word or a sentence.

$$
\begin{aligned}
& w=\text { ALPHA } \\
& w=0100011101
\end{aligned}
$$

## Languages <br> Definitions

- Two strings can be concatenated to form another string:

$$
\begin{aligned}
& v=\operatorname{ALPHA}, \quad w=\text { BETA } \\
& \operatorname{Concat}(v, w)=v w=\text { ALPHABETA }
\end{aligned}
$$

- The length of a string $w$, denoted by $|w|$ is the number of symbols in the string.

$$
|\mathrm{ALPHA}|=5
$$

- The empty string is denoted by $\lambda$ or $\varepsilon$ and its length is 0 .

$$
|\lambda|=0
$$

## Languages Definitions

- If $\Sigma$ is the alphabet, $\Sigma^{*}$ is the set of all strings over $\Sigma$, including the empty string.
- $\Sigma^{*}$ is obtained by concatenating zero or more symbols from $\Sigma$.

$$
\Sigma^{+}=\Sigma^{*}-\{\lambda\}
$$

Let $\Sigma=\{a, b, c, d\}$, what is $\Sigma^{*}$ ?
Can you specify a procedure to generate $\Sigma^{*}$ ?
What is $\left|\Sigma^{*}\right|$ ?

## Languages <br> Definitions

- A language over $\Sigma$ is a subset of $\Sigma^{*}$.
$\mathrm{L} \subseteq \Sigma^{*}$

Example: $\Sigma=\{a, b\}$

$$
\begin{array}{ll}
L_{1}=\{a, a a, a b a\} & \text { a finite language } \\
L_{2}=\left\{a^{n} b^{n}: n \geq 1\right\} & \text { an infinite language }
\end{array}
$$

## Ways to represent languages

1. Recognition point of view

- Give a procedure which
says Yes for sentences in the language, and either does not terminate or says No for sentences NOT in the language.
The procedure recognizes the language



## Ways to represent languages

2. Generation point of view

- Systematically generate (enumerate) all sentences of the language
- What's the relationship between these two points of view?


## Ways to represent languages

Given a procedure to recognize $L$, we can give a procedure for generating $L$.
Steps

|  |  | 1 | 2 | 3 | 4 | ... |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | $\mathrm{x}_{1}$ | 1 | 3 | 6 | 10 | 15 |
|  | $\mathrm{x}_{2}$ | 2 | 5 | 9 | 14 |  |
|  | $\mathrm{x}_{3}$ | 4 | 8 | 13 |  |  |
|  | $\mathrm{x}_{4}$ | 7 | 12 |  |  |  |
|  | : | 11 |  |  |  |  |

1. Run step 1 on $\mathrm{x}_{1}$
2. Run step 1 on $x_{2}$
3. Run step 2 on $x_{1}$
4. Run step 1 on $x_{3}$
5. ...

## Ways to represent languages

Given a procedure for generating $L$, we can give a procedure for recognizing $L$. what is it?

## Definitions

- A language $L$ that can be generated by a procedure is said to be a recursively enumerable set or RE.
- It accepts $\mathrm{w} \in \mathrm{L}$, but we do not know what happens for $w \notin \mathrm{~L}$. (It may halt or goes into an infinite loop)
- A language $L$ that can be recognized by an algorithm is said to be recursive or $\mathbf{R}$.
- Halts on every w $\in \Sigma^{+}$.

- Recursive sets are a subset of RE.
- Suppose $L$ is recursive, how about $\bar{L}$ ?



## Automata

- An automaton is an abstract model of a digital computer.
- Reads the input (string over the alphabet)
- Has a control unit which can be in any of the finite number of internal states and can change state in some defined manner.
- Given an input string, it outputs yes or no meaning that it either accepts the string or rejects it.


## Grammars <br> Definitions

- A grammar is a method to describe and generate the sentences of a language.
- A grammar G is defined as a quadruple

$$
G=(V, T, S, P)
$$

$\mathbf{V}$ is a finite set of variables
T is a finite set of terminal symbols
$\mathbf{S} \in \mathrm{V}$ is a special variable called start symbol
$\mathbf{P}$ is a finite set of production rules of the form

$$
\begin{gathered}
\mathrm{x} \rightarrow \mathrm{y} \\
\text { where } \mathrm{x} \in(\mathrm{~V} \cup \mathrm{~T})^{+}, \mathrm{y} \in(\mathrm{~V} \cup \mathrm{~T})^{*}
\end{gathered}
$$

## Grammars

## Example

$S \rightarrow$ <noun phrase> <verb phrase>
<noun phrase> $\rightarrow$ <article> <noun>
<article> $\rightarrow$ the
<noun> $\rightarrow$ dog
<verb phrase> $\rightarrow$ is <adjective>
<adjective> $\rightarrow$ happy
S => <noun phrase><verb phrase> => <article><noun><verb phrase> => the <noun><verb phrase> => the <noun> is <adjective> => the dog is <adjective> => the dog is happy

## Grammars Definitions

- We say that $w$ derives $z$ if $w=u x v$, and $z=u y v$ and $x \rightarrow y \in P$
w => z
- If $w_{1}=>w_{2}=>\ldots=>w_{n}$ we say $w_{1}=>^{*} w_{n}$ (derives in zero or more steps)
- The set of sentential forms is

$$
S(G)=\left\{\alpha \in(V \cup T)^{*} \mid S=>^{*} \alpha\right\}
$$

- The language generated by grammar G is

$$
L(G)=\left\{w \in T^{*} \mid S=>^{*} w\right\}
$$

## Grammars

 Example$\mathrm{G}=(\mathrm{V}, \mathrm{T}, \mathrm{P}, \mathrm{S})$
P:

$$
\begin{aligned}
& S \rightarrow \mathrm{aSBC} \\
& S \rightarrow \mathrm{aBC} \\
& \mathrm{CB} \rightarrow \mathrm{BC} \\
& \mathrm{aB} \rightarrow \mathrm{ab}
\end{aligned}
$$

$V=\{S, B, C\}$
$T=\{a, b, c\}$

## Grammars <br> Example

$G=(\{S\},\{a, b\}, S, P)$
Productions:

$$
\begin{aligned}
& S \rightarrow \mathrm{aSb} \\
& \mathrm{~S} \rightarrow \lambda
\end{aligned}
$$

What is the $L(G)$ ?

$$
L=\left\{a^{n} b^{n}: n \geq 0\right\}
$$

# Grammars Example 

Find a grammar that generates

$$
L=\left\{a^{n} b^{2 n}: n \geq 0\right\}
$$

$s \rightarrow \operatorname{aSbb} \mid \lambda$

## Summary

- An automaton recognizes (or accepts) a language
- A grammar generates a language
- For some grammars, it is possible to build an automaton $M_{G}$ from the grammar $G$ so that $M_{G}$ recognizes the language $L(G)$ generated by the grammar $G$.

