

## Deterministic PDAs & Deterministic CFLs

Deterministic pda normally accept by final state.  
The reason is that for deterministic pdas, accepting by final state is "more powerful" than accepting by null stack.

Lemma A language  $L$  has the prefix property if no word in  $L$  is a proper prefix of another word in  $L$ .  
If  $L = N(M)$  for some dpda  $M$ , then  $L$  has the prefix property

"Proof" Once the d.p.d.a accept by emptying the stack (deterministically) it cannot accept any extension string.

Lemma  $L = N(M)$  for dpda  $M \iff L = L(M')$  for some dpda  $M'$  (accepting by final state) and  $L$  has the prefix property.

"Proof"  $\Rightarrow$  By previous Lemma,  $L$  has the prefix property. Can always also find an equivalent d.p.d.a that accept by final state. (How?)

$\Leftarrow$  Once you go to the final state, simply empty the stack.

Lemma There exist languages accepted by dpda that do not have the prefix property. Example:  $ab^*$

Def:  $L$  is a deterministic c.f.l.  $\iff \exists$  dpda  $M$  s.t.  $L = L(M)$

Note:  $L_1 = \{a^n b^{2n} : n \geq 0\}$  &  $L_2 = \{a^n b^n : n \geq 0\}$  are dcfls.

$L_1 \cup L_2$  is c.f.l. but not dcfl.

## Properties of Context-free languages

The pumping lemma for context-free languages.

Let  $L$  be a c.f.l. Then there exists a constant  $m$  such that if  $w \in L$ , with  $|w| \geq m$ , then

$$w = uvxyz$$

with (a)  $|vxy| \leq m$

(b)  $|vy| \geq 1$

(c) for all  $i \geq 0$   $uv^i xy^i z \in L$ .

### Example

$L = \{a^i b^i c^i \mid i \geq 1\}$  is not context free.

#### Proof

Suppose  $L$  is c.f.l. By lemma, choose  $m$ . Let  $w = a^m b^m c^m$ .

The lemma says  $w$  can be written as  $uvxyz$  with  $|vy| \geq 1$  and  $|vxy| \leq m$  and  $uv^i xy^i z \in L$  for  $i \geq 0$ .

Now,  $vxy$  cannot include  $a$ 's,  $b$ 's, and  $c$ 's since  $|vxy| \leq m$ .

Suppose it includes only  $a$ 's.  $\therefore$  at least one  $a$  in  $vy$  since  $|vy| \geq 1$ .  $\therefore uxz$  has  $m$   $b$ 's,  $m$   $c$ 's, but less than  $m$   $a$ 's. Contradiction since  $uxz \notin L$ .

Similar argument if  $vxy$  has only  $b$ 's or  $c$ 's.

Suppose  $vxy$  has both  $a$ 's &  $b$ 's. Then  $uxz$  has  $m$   $c$ 's but less than  $m$   $a$ 's or  $b$ 's. Again a contradiction.

Similar argument if  $vxy$  has  $b$ 's and  $c$ 's.

Example

$L = \{ a^i b^i c^j \mid j \geq i \}$  is not context-free.

Similar approach as previous example.

Consider  $m$  as in Lemma, and  $w = a^m b^m c^m$

Can write this as  $uvxyz$ . Suppose only  $a$ 's in  $vxy$ .

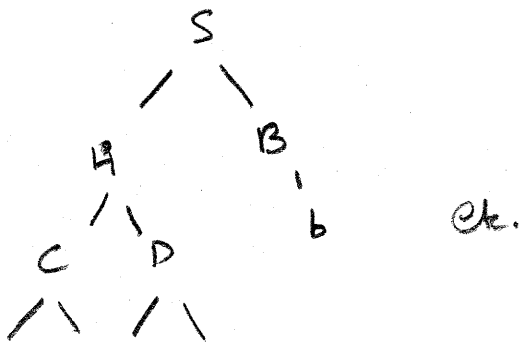
$\therefore uvz$  has  $m$   $b$ 's but less than  $m$   $a$ 's. Contradiction.

Similarly for only  $b$ 's. Suppose only  $c$ 's. Then same argument.

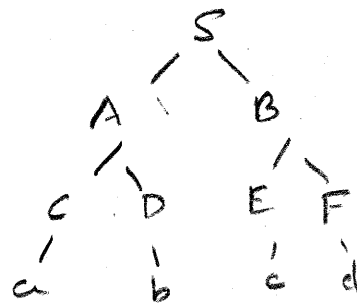
Etc ...

Proof of Pumping Lemma

Let  $G$  be a Chomsky normal form grammar for  $L$ . ( $L \in \lambda$ ).  
Consider a derivation for  $w$ . Must be like:



Suppose  $k=3$ .  $k$  the depth of the tree



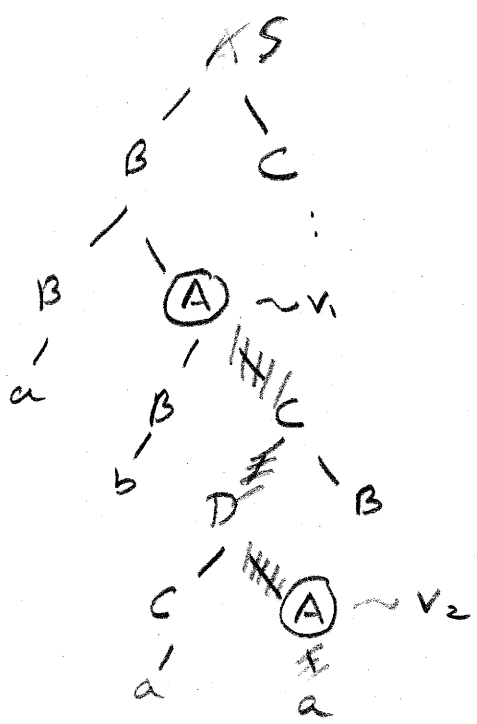
If  $k=3$   
longest word is  
 $2^{k-1}$ .

$\therefore$  if longest path is  $k$ , the longest derived word is  $2^{k-1}$ .

Now, let  $G$  have  $R$  variables, and choose  $m = 2^k$   
and  $|w| \geq m$ .

Therefore, parse tree for  $w$  must have a path of length  
at least  $k+1$ . (If all paths of max length  $k$ , then all words  
of length  $\leq 2^{k-1}$ ).

Since path is of length  $\geq k+1$ , vertices on path are  $\geq k+2$   
 $\therefore$  some non leaf vertex must repeat. (variable must repeat)



Longest path  $p$ , with  $|p| \geq k+1$ .  
 $\therefore$  let  $v_2$  be closest vertex to leaf that repeats and let  $v_1$  be next closest.

Let  $T_1$  be the subtree from vertex  $v_1$  and  $T_2$  be the subtree from vertex  $v_2$ .

Note that the longest path from  $v_1$  is of length at most  $k+1$ . (By construction)

$\therefore$  If  $T_1$  yields  $Z_1$  then  $|Z_1| \leq 2^k = m$

Let  $T_2$  yield  $Z_2$ .

$\therefore Z_1 = Z_3 Z_2 Z_4$  with  $|Z_3 Z_4| \leq 1$   
 (Chomsky NF  $\bar{C} A \rightarrow A$  not allowed)

$\therefore \left. \begin{matrix} A \xrightarrow{*} Z_3 A Z_4 \\ A \xrightarrow{*} Z_2 \end{matrix} \right\} \Rightarrow A \xrightarrow{*} Z_3^i Z_2 Z_4^i$

$\therefore w$  can be written as  $Z_5 \underbrace{Z_3 Z_2 Z_4}_{Z_1} Z_6$

and lemma follows.

## Closure Properties for C.F.L.s

① C.F.L.s are closed under union, concatenation, Kleene star.

Proof

U Let  $G_1 = (V_1, T_1, S_1, P_1)$  and  $G_2 = (V_2, T_2, S_2, P_2)$  that generate  $L_1$  and  $L_2$  respectively.

Let  $G = (V_1 \cup V_2 \cup \{S\}, T_1 \cup T_2, S, P_1 \cup P_2 \cup P_3)$

with  $P_3: S \rightarrow S_1 \mid S_2$

It can easily be established that  $L(G) = L_1 \cup L_2$ .

• Same as union but with  $P_3: S \rightarrow S_1 \cdot S_2$

Kleene \* Let  $G_1 = (V_1, T_1, S_1, P_1)$  generating  $L_1$ .

Let  $G = (V_1 \cup \{S\}, T_1, S, P_1 \cup P)$  where:

$P: S \rightarrow SS_1 \mid \lambda$ .

It can easily be shown that  $L(G) = L_1^*$ .

② C.F.L.s are closed under substitution & inverse homomorphism.  
(Proof not shown).

③ C.F.L.s are not closed under intersection or complement.  
 $L_1 = \{a^i b^j c^k \mid i, j \geq 1\}$  and  $L_2 = \{a^i b^j c^j \mid i, j \geq 1\}$   
 can easily be shown to be C.F.L.s. (give P.D.A. or grammar).  
 But  $L_1 \cap L_2 = \{a^i b^i c^i \mid i \geq 1\}$  is not a C.F.L. (why?).  
 $\therefore$  C.F.L.s not closed under intersection.

Note: (4) If  $L$  is a C.F.L. &  $R$  is a regular language, then  $L \cap R$  is a c.f.l.

Note: D.C.F.L.s are not closed under union or intersection.  
 D.C.F.L.s are closed under complement.

Example

Let  $L = \{ ww \mid w \in (a+b)^* \}$ .

$L$  is not a c.f.l.

Proof

Suppose  $L$  is a c.f.l. Consider  $R = a^+b^+a^+b^+$

$R$  is a regular language

$$L \cap R = \{ a^i b^j a^i b^j \mid i, j \geq 1 \}$$

By pumping lemma we can prove that  $L \cap R$  is not c.f.l.

$\therefore L$  is not a c.f.l.

Example

$L = \{ a^n b^n : n \geq 0, n \neq 100 \}$  is a c.f.l.

Let  $L_1 = \{ a^n b^n : n \geq 0 \}$   $L_1$  is easily c.f.l.

Let  $R_1 = a^* b^*$   $R_1$  is regular.

Let  $R_2 = \{ a^{100} b^{100} \}$   $R_2$  is regular.

$R = R_1 - R_2$  is regular

$\therefore L_1 \cap R$  is c.f.l.

$L = L_1 \cap R$ . Proof complete

## Decision Algorithms for C.F.L.s

① There exists an algorithm to decide if a C.F.L. is empty.  
 Proof: use the fact that we can determine if  $S$  is useless.

② There is an algorithm to determine if a C.F.L. is finite.

③ There is an algorithm to determine if a C.F.L. is infinite.

Proof: (2  $\Leftrightarrow$  3).

First eliminate  $\lambda$ -productions, useless productions, and unit productions. Then check remaining productions to determine if there is some nonterminal  $A$  s.t.

$A \xRightarrow{*} xAy$  (a repeating variable).

(Note that this can be done using a dependency graph.)

If there exists a repeating variable, the language is infinite; else it is finite.

④ There exists an algorithm to determine if  $w \in L(G)$  for a c.f.g.  $G$ . (membership)

Proof: Represent grammar in Chomsky Normal Form.

If  $|w| = n$ , then there is an  $n^3$  algorithm to determine if  $w \in L(G)$ .

(The algorithm is the CYK algorithm in Chapter 6.)

Examples

Show that  $L = \{a^{n^2} \mid n \geq 1\}$  is not context free.

choose  $m$  so pumping lemma applies and consider

$a^{m^2}$  This can be written as

$$a^{m^2} = uvxyz \quad \text{with } |vxy| \leq m, \quad |vy| \geq 1$$

and  $uv^2xy^2z \in L$ .

$$\text{But } m^2 + m \geq |uv^2xy^2z| > m^2$$

$$\therefore (m+1)^2 > |uv^2xy^2z| > m^2.$$

Contradiction!

Show that  $L = \{wcw \mid w \in \{a,b\}^*\}$  is not context-free.

Choose  $m$  as in lemma consider.

$$a^m b^m c a^m b^m = uvxyz$$

if  $vxy \in a$ 's only or  $b$ 's only then  $uxz$  gives a contradiction.

if  $vxy$  spans first  $a$ 's &  $b$ 's then again  $uxz$  gives a contradiction.

if  $vxy$  span some of first  $b$ 's and some of second  $a$ 's

$$\begin{array}{ccccccc} b & \dots & b & c & a & \dots & a \\ \hline & & m & & m & & \\ \hline & & \underbrace{\hspace{2cm}} & & & & \\ & & vxy & & & & \end{array}$$

assume  $|v| \geq 1$ . Then by pumping, there are more

$b$ 's than in "second set"

similarly if  $|y| \geq 1$ . (More  $a$ 's than in first set.)



## Context sensitive & general grammars

Find a grammar that generates  $\{a^n b^n c^n \mid n \geq 1\}$   
 which has been proven not to be context-free.

$$S \rightarrow a S B C \mid a B C$$

$$C B \rightarrow B C$$

$$a B \rightarrow a b$$

$$b B \rightarrow b b$$

$$b C \rightarrow b c$$

$$c C \rightarrow c c$$

(This is a context-sensitive grammar)

(It generates the above language)

Find a grammar that generates  $\{ww \mid w \in \{0,1\}^*\}$

Again, this has been shown not to be a C.F.L.

Consider the following grammar:

$$S \rightarrow ABC$$

"start"

$$AB \rightarrow 0AD \mid 1AE$$

"add 0 or 1 left & use D, E to mark second 0, 1"

$$DC \rightarrow BOC$$

"add 0 right"

$$EC \rightarrow B1C$$

"add 1 right"

$$DO \rightarrow OD$$

move D right

$$D1 \rightarrow 1D$$

move D right

$$EO \rightarrow OE$$

move E right

$$E1 \rightarrow 1E$$

move E right

$$OB \rightarrow BO$$

move B left

$$1B \rightarrow B1$$

move B left

$$AB \rightarrow \lambda$$

eliminate AB

$$C \rightarrow \lambda$$

eliminate C

Example

ABC

0ADC

0ABOC

01AEOC

01A0EC

01A0B1C

01AB01C

use AB & continue ~~or~~ end:

01λ01λ

0101