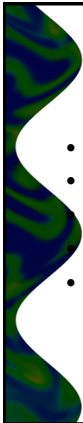


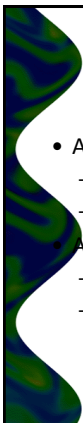
GRAPHS

An Introduction



OUTLINE

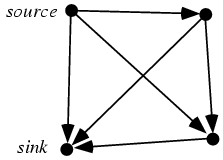
- What are Graphs?
- Applications
 - Terminology and Problems
 - Representation (Adj. Mat and Linked Lists)
- Searching
 - Depth First Search (DFS)
 - Breadth First Search (BFS)



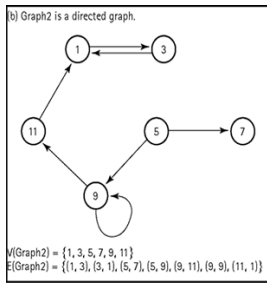
GRAPHS

- A **graph** $G = (V,E)$ is composed of:
 - V : set of **vertices**
 - $E \subset V \times V$: set of **edges** connecting the **vertices**
- An **edge** $e = (u,v)$ is a __ pair of vertices
 - Directed graphs (ordered pairs)
 - Undirected graphs (unordered pairs)

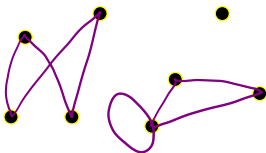
DIRECTED GRAPH



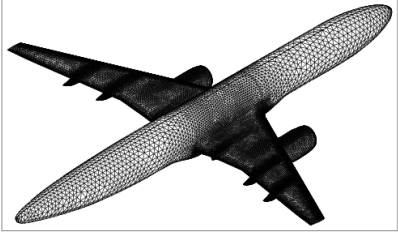
DIRECTED GRAPH



UNDIRECTED GRAPH



UNDIRECTED GRAPH



APPLICATIONS

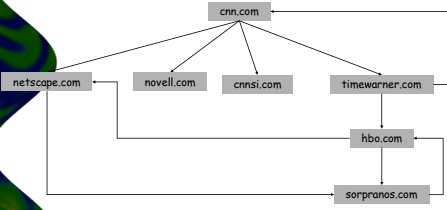
- Air Flights, Road Maps, Transportation.
- Graphics / Compilers
- Electrical Circuits
- Networks
- Modeling any kind of relationships (between people/web pages/cities/...)

SOME MORE GRAPH APPLICATIONS

<i>Graph</i>	<i>Nodes</i>	<i>Edges</i>
transportation	street intersections	highways
communication	computers	fiber optic cables
World Wide Web	web pages	hyperlinks
social	people	relationships
food web	species	predator-prey
software systems	functions	function calls
scheduling	tasks	precedence constraints
circuits	gates	wires

WORLD WIDE WEB

- Web graph.
 - Node: web page.
 - Edge: hyperlink from one page to another.



9-11 TERRORIST NETWORK

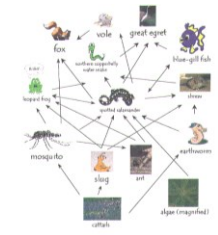
- Social network graph.
 - Node: people.
 - Edge: relationship between two people.



Reference: Valdis Krebs, http://www.firstmonday.org/issues/issue7_4/krebs

ECOLOGICAL FOOD WEB

- Food web graph.
 - Node = species.
 - Edge = from prey to predator.



Reference: <http://www.beingreves.district96.k12.il.us/Wetlands/Sdamander/SalGraphics/salfoodweb.gif>

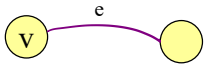
TERMINOLOGY

- **a** is adjacent to **b** iff $(a,b) \in E$.
- *degree*(a) = number of adjacent vertices (Self loop counted twice)
- Self Loop: (a,a)
- Parallel edges: $E = \{ \dots(a,b), (a,b)\dots \}$



TERMINOLOGY

- A **Simple Graph** is a graph with no self loops or parallel edges.
- **Incidence**: v is incident to e if v is an end vertex of e.



MORE...



simple graph



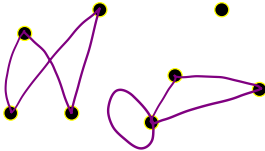
multigraph



pseudograph

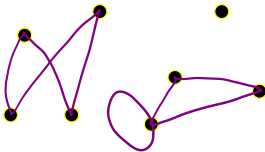
QUESTION

- Max Degree node? Min Degree Node?
- Isolated Nodes? Total sum of degrees over all vertices? Number of edges?



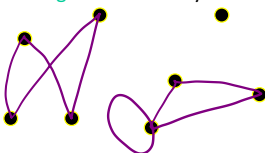
QUESTION

- Max Degree = 4. Isolated vertices = 1.
- $|V| = 8, |E| = 8$
- Sum of degrees = 16 = ?
– (Formula in terms of $|V|, |E|$?)



QUESTION

- Max Degree = 4. Isolated vertices = 1.
- $|V| = 8, |E| = 8$
- Sum of degrees = $2|E| = \sum_{v \in V} \text{degree}(v)$
– Handshaking Theorem. Why?



QUESTION

- How many edges are there in a graph with 100 vertices each of degree 4?

QUESTION

- How many edges are there in a graph with 100 vertices each of degree 4?
 - Total degree sum = $400 = 2 |E|$
 - 200 edges by the handshaking theorem.

HANDSHAKING: COROLLARY

The number of vertices with odd degree is always even.

Proof: Let V_1 and V_2 be the set of vertices of even and odd degrees, respectively

(Hence $V_1 \cap V_2 = \emptyset$, and $V_1 \cup V_2 = V$).

- Now we know that

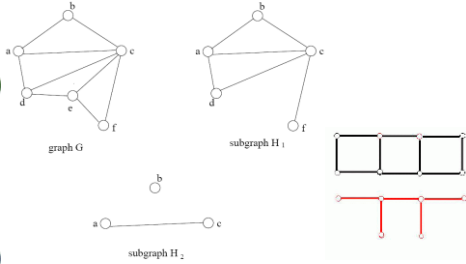
$$2|E| = \sum_{v \in V} \text{degree}(v) \\ \in \sum_{v \in V_1} \text{degree}(v) + \sum_{v \in V_2} \text{degree}(v)$$

even. ↗ ?

- Since $\text{degree}(v)$ is odd for all $v \in V_2$, $|V_2|$ must be even.

TERMINOLOGY

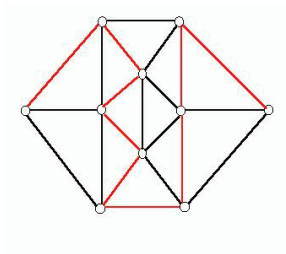
A graph $H(V_H, E_H)$ is a *subgraph* of $G(V_G, E_G)$ if and only if $V_H \subset V_G$ and $E_H \subset E_G$.



PATH AND CYCLE

- An alternating sequence of vertices and edges beginning and ending with vertices
 - each edge is incident with the vertices preceding and following it.
 - No edge appears more than once.
 - A path is *simple* if all nodes are distinct.
- Cycle
 - A path is a cycle if and only if $v_0 = v_k$
 - The beginning and end are the same vertex.

PATH EXAMPLE



CONNECTED GRAPH

- Undirected Graphs: If there is at least one path between every pair of vertices. (otherwise disconnected)
- Directed Graphs:
 - Strongly connected
 - Weakly connected



HAMILTONIAN CYCLE

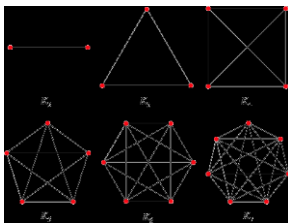
- A cycle that transverses every vertex exactly once.



In general, the problem of finding a Hamiltonian circuit is NP-Complete.

COMPLETE GRAPH

- Every pair of graph vertices is connected by an edge.



$n(n-1)/2$ edges

DIRECTED ACYCLIC GRAPHS

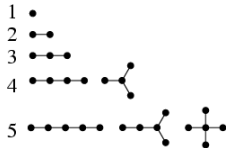
A DAG is a directed graph with no cycles



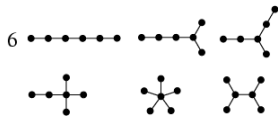
Often used to indicate precedences among events, i.e., event *a* must happen before *b*

TREE

A connected graph with *n* nodes and *n*-1 edges



A Forest is a collection of trees.



TREES

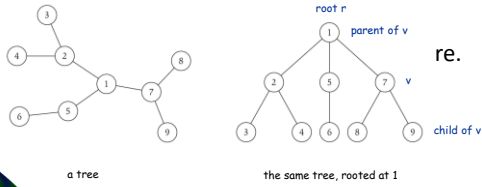
- An undirected graph is a **tree** if it is connected and does not contain a cycle.

• Theorem. Let *G* be an undirected graph on *n* nodes. Any two of the following statements imply the third.

- *G* is connected.
- *G* does not contain a cycle.
- *G* has *n*-1 edges.

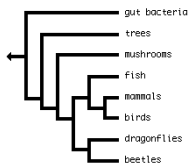
ROOTED TREES

- Rooted tree. Given a tree T, choose a root node r and orient each edge away from r.



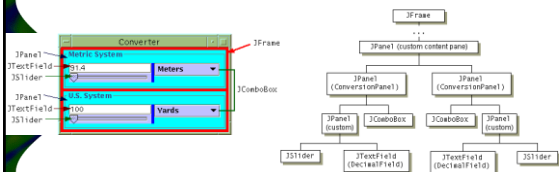
PHYLOGENY TREES

- Phylogeny trees. Describe evolutionary history of species.



GUI CONTAINMENT HIERARCHY

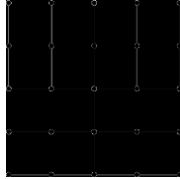
- GUI containment hierarchy. Describe organization of GUI widgets.



reference: <http://java.sun.com/docs/books/tutorial/uiswing/overview/anatomy.html>

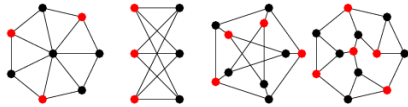
SPANNING TREE

Connected subset of a graph G with $n-1$ edges which contains all of V



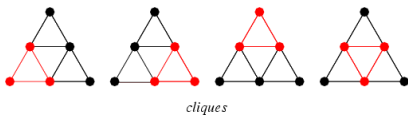
INDEPENDENT SET

- An independent set of G is a subset of the vertices such that no two vertices in the subset are adjacent.



CLIQUE

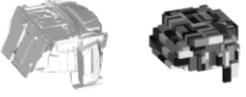
- a.k.a. complete subgraphs.



IS

TOUGH PROBLEM


- Find the maximum cardinality independent set of a graph G.
 - NP-Complete
 - Unknown if a poly time algorithm exists unless $P = NP$.



© MPI Saarbruecken, Germany.

TOUGH PROBLEM

TSP



- Given a **weighted** graph G, the nodes of which represent cities and weights on the edges, distances; find the shortest tour that takes you from your home city to all cities in the graph and back.
 - Can be solved in $O(n!)$ by enumerating all cycles of length n.
 - Dynamic programming can be used to reduce it in $O(n^2 2^n)$.

REPRESENTATION

- Two ways
 - Adjacency List
 - (as a linked list for each node in the graph to represent the edges)
 - Adjacency Matrix
 - (as a boolean matrix)

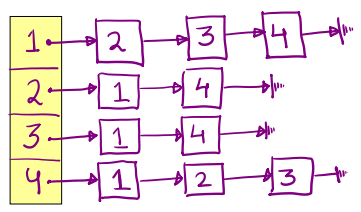
REPRESENTING GRAPHS



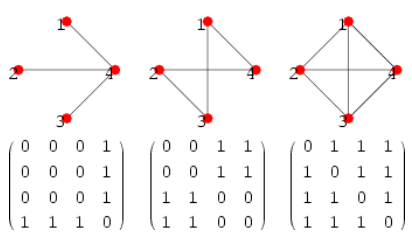
Vertex	Adjacent Vertices
1	2, 3, 4
2	1, 4
3	1, 4
4	1, 2, 3

Initial Vertex	Terminal Vertices
1	3
2	1
3	
4	1, 2, 3

ADJACENCY LIST

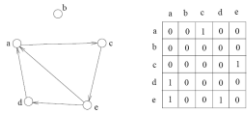


ADJACENCY MATRIX



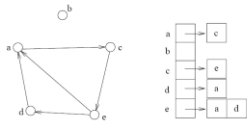
ANOTHER EXAMPLE

1. Adjacency Matrix



	a	b	c	d	e
a	0	0	1	0	0
b	0	0	0	0	0
c	0	0	0	0	1
d	1	0	0	0	0
e	1	0	0	1	0

2. Adjacency List



	a	b	c	d	e
a			c		
b					
c				e	
d				a	
e				a	d

AL VS AM

- AL: Takes $O(|V| + |E|)$ space
- AM: Takes $O(|V| * |V|)$ space
- Question: How much time does it take to find out if (v_i, v_j) belongs to E?
 - AM ?
 - AL ?

AL VS AM

- AL: Takes $O(|V| + |E|)$ space
- AM: Takes $O(|V| * |V|)$ space
- Question: How much time does it take to find out if (v_i, v_j) belongs to E?
 - AM : $O(1)$
 - AL : $O(|V|)$ in the worst case.

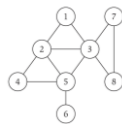
AL VS AM

- AL : Total space = $8|V| + 16|E|$ bytes (For undirected graphs its $8|V| + 32|E|$ bytes)
- AM : $|V| * |V| / 8$
- Question: What is better for very **sparse** graphs? (Few number of edges)

GRAPH TRAVERSAL

CONNECTIVITY

- s-t connectivity problem. Given two node s and t, is there a path between s and t?
- s-t shortest path problem. Given two node s and t, what is the length of the shortest path between s and t?
- Applications.
 - Maze traversal.
 - Kevin Bacon number / Erdos number
 - Fewest number of hops in a communication network.
 - Friendster.



BFS/DFS

Breadth-First Search

www.combinatorics.com

Depth-First Search

www.combinatorics.com

BFS : Breadth First Search
DFS : Depth First Search

© Steve Skiena

BFS/DFS

- Breadth-first search (BFS) and depth-first search (DFS) are two distinct orders in which to visit the vertices and edges of a graph.
- BFS: radiates out from a root to visit vertices in order of their distance from the root. Thus closer nodes get visited first.

BREADTH FIRST SEARCH

- Question: Given G in AM form, how do we say if there is a path between nodes a and b ?
- Note: Using AM or AL its easy to answer if there is an edge (a,b) in the graph, but not path questions. This is one of the reasons to learn BFS/DFS.

BFS

- A **Breadth-First Search (BFS)** traverses a **connected component** of a graph, and in doing so defines a **spanning tree**.

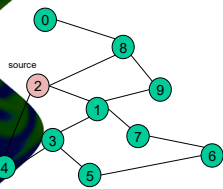
Source: Lecture notes by **Sheung-Hung POON**

BFS

```

Algorithm BFS(s)
Input: s is the source vertex
Output: Mark all vertices that can be visited from s.
1. for each vertex v
2.     do flag[v] := false;
3. Q = empty queue;
4. flag[s] := true;
5. enqueue(Q, s);
6. while Q is not empty
7.     do v := dequeue(Q);
8.         for each w adjacent to v
9.             do if flag[w] = false
10.                then flag[w] := true;
11.                    enqueue(Q, w)
    
```

EXAMPLE



Adjacency List

0	8
1	3 7 9 2
2	8 1 4
3	4 5 1
4	2 3
5	3 6
6	3 5
7	1 6
8	2 0 9
9	1 8

Visited Table (T/F)

0	F
1	F
2	F
3	F
4	F
5	F
6	F
7	F
8	F
9	F

Initialize visited table (all empty F)

Q = { }

Initialize **Q** to be empty

EXAMPLE

Adjacency List

0	8
1	3 7 9 2
2	8 1 4
3	4 5 1
4	2 3
5	3 6
6	7 5
7	1 6
8	2 0 9
9	1 8

Visited Table (T/F)

0	F
1	F
2	T
3	F
4	F
5	F
6	F
7	F
8	F
9	F

Flag that 2 has been visited.

$Q = \{ 2 \}$
Place source 2 on the queue.

EXAMPLE

Adjacency List

0	8
1	3 7 9 2
2	8 1 4
3	4 5 1
4	2 3
5	3 6
6	7 5
7	1 6
8	2 0 9
9	1 8

Visited Table (T/F)

0	F
1	T
2	T
3	F
4	T
5	F
6	F
7	F
8	T
9	F

Mark neighbors as visited.

$Q = \{2\} \rightarrow \{ 8, 1, 4 \}$
Dequeue 2.
Place all unvisited neighbors of 2 on the queue

EXAMPLE

Adjacency List

0	8
1	3 7 9 2
2	8 1 4
3	4 5 1
4	2 3
5	3 6
6	7 5
7	1 6
8	2 0 9
9	1 8

Visited Table (T/F)

0	T
1	T
2	T
3	F
4	T
5	F
6	F
7	F
8	T
9	T

Mark new visited Neighbors.

$Q = \{ 8, 1, 4 \} \rightarrow \{ 1, 4, 0, 9 \}$

Dequeue 8.
Place all unvisited neighbors of 8 on the queue.
Notice that 2 is not placed on the queue again, it has been visited!

EXAMPLE

Adjacency List

0	8
1	3 7 9 2
2	8 1 4
3	4 5 1
4	2 3
5	3 6
6	7 5
7	1 6
8	2 0 9
9	1 8

Neighbors →

Visited Table (T/F)

0	T
1	T
2	T
3	T
4	T
5	F
6	F
7	T
8	T
9	T

Mark new visited Neighbors.

$Q = \{ 1, 4, 0, 9 \} \rightarrow \{ 4, 0, 9, 3, 7 \}$

Dequeue 1.
 -- Place all unvisited neighbors of 1 on the queue.
 -- Only nodes 3 and 7 haven't been visited yet.

EXAMPLE

Adjacency List

0	8
1	3 7 9 2
2	8 1 4
3	4 5 1
4	2 3
5	3 6
6	7 5
7	1 6
8	2 0 9
9	1 8

Neighbors →

Visited Table (T/F)

0	T
1	T
2	T
3	T
4	T
5	F
6	F
7	T
8	T
9	T

$Q = \{ 4, 0, 9, 3, 7 \} \rightarrow \{ 0, 9, 3, 7 \}$

Dequeue 4.
 -- 4 has no unvisited neighbors!

EXAMPLE

Adjacency List

0	8
1	3 7 9 2
2	8 1 4
3	4 5 1
4	2 3
5	3 6
6	7 5
7	1 6
8	2 0 9
9	1 8

Neighbors →

Visited Table (T/F)

0	T
1	T
2	T
3	T
4	T
5	F
6	F
7	T
8	T
9	T

$Q = \{ 0, 9, 3, 7 \} \rightarrow \{ 9, 3, 7 \}$

Dequeue 0.
 -- 0 has no unvisited neighbors!

EXAMPLE

Adjacency List

0	8
1	3 7 9 2
2	8 1 4
3	4 5 1
4	2 3
5	3 6
6	7 5
7	1 6
8	2 0 9
9	1 8

Visited Table (T/F)

0	T
1	T
2	T
3	T
4	T
5	F
6	F
7	T
8	T
9	T

$Q = \{9, 3, 7\} \rightarrow \{3, 7\}$
 Dequeue 9.
 -- 9 has no unvisited neighbors!

EXAMPLE

Adjacency List

0	8
1	3 7 9 2
2	8 1 4
3	4 5 1
4	2 3
5	3 6
6	7 5
7	1 6
8	2 0 9
9	1 8

Visited Table (T/F)

0	T
1	T
2	T
3	T
4	T
5	T
6	F
7	T
8	T
9	T

Mark new visited
Vertex 5.

$Q = \{3, 7\} \rightarrow \{7, 5\}$
 Dequeue 3.
 -- place neighbor 5 on the queue.

EXAMPLE

Adjacency List

0	8
1	3 7 9 2
2	8 1 4
3	4 5 1
4	2 3
5	3 6
6	7 5
7	1 6
8	2 0 9
9	1 8

Visited Table (T/F)

0	T
1	T
2	T
3	T
4	T
5	T
6	T
7	T
8	T
9	T

Mark new visited
Vertex 6.

$Q = \{7, 5\} \rightarrow \{5, 6\}$
 Dequeue 7.
 -- place neighbor 6 on the queue.

EXAMPLE

Adjacency List

0	8
1	3 7 9 2
2	8 1 4
3	4 5 1
4	2 3
5	3 6
6	7 5
7	1 6
8	2 0 9
9	1 8

Visited Table (T/F)

0	T
1	T
2	T
3	T
4	T
5	F
6	F
7	F
8	F
9	F

$Q = \{5, 6\} \rightarrow \{6\}$
 Dequeue 5.
 -- no unvisited neighbors of 5.

EXAMPLE

Adjacency List

0	8
1	3 7 9 2
2	8 1 4
3	4 5 1
4	2 3
5	3 6
6	7 5
7	1 6
8	2 0 9
9	1 8

Visited Table (T/F)

0	T
1	T
2	T
3	T
4	T
5	T
6	T
7	F
8	F
9	F

$Q = \{6\} \rightarrow \{ \}$
 Dequeue 6.
 -- no unvisited neighbors of 6.

EXAMPLE

Adjacency List

0	8
1	3 7 9 2
2	8 1 4
3	4 5 1
4	2 3
5	3 6
6	7 5
7	1 6
8	2 0 9
9	1 8

Visited Table (T/F)

0	T
1	T
2	T
3	T
4	T
5	T
6	T
7	T
8	T
9	T

$Q = \{ \}$ **STOP!!!** Q is empty!!!

What did we discover?
 Look at "visited" tables.
 There exist a path from source vertex 2 to all vertices in the graph!

TIME COMPLEXITY OF BFS (USING ADJACENCY LIST)

Assume adjacency list
 - n = number of vertices m = number of edges

Algorithm $BFS(s)$
Input: s is the source vertex
Output: Mark all vertices that can be visited from s .

1. for each vertex v
2. do $flag[v] := false$;
3. $Q =$ empty queue;
4. $flag[s] := true$;
5. $enqueue(Q, s)$;
6. while Q is not empty
7. do $v := dequeue(Q)$;
8. for each w adjacent to v
9. do if $flag[w] = false$
10. then $flag[w] := true$;
11. $enqueue(Q, w)$

$O(n + m)$

← No more than n vertices are ever put on the queue.

← How many adjacent nodes will we ever visit. This is related to the number of edges. How many edges are there?
 $\sum_{\text{vertex } v} deg(v) = 2m$ *

*Note: this is not per iteration of the while loop. This is the sum over all the while loops!

TIME COMPLEXITY OF BFS (USING ADJACENCY MATRIX)

Assume adjacency matrix
 - n = number of vertices m = number of edges

Algorithm $BFS(s)$
Input: s is the source vertex
Output: Mark all vertices that can be visited from s .

1. for each vertex v
2. do $flag[v] := false$;
3. $Q =$ empty queue;
4. $flag[s] := true$;
5. $enqueue(Q, s)$;
6. while Q is not empty
7. do $v := dequeue(Q)$;
8. for each w adjacent to v
9. do if $flag[w] = false$
10. then $flag[w] := true$;
11. $enqueue(Q, w)$

$O(n^2)$

So, adjacency matrix is not good for BFS!!!

← No more than n vertices are ever put on the queue. $O(n)$

← Using an adjacency matrix. To find the neighbors, we have to visit all elements in the row of v . That takes time $O(n)$.

PATH RECORDING

- BFS only tells us if a path exists from source s , to other vertices v .
 - It doesn't tell us the path!
 - We need to modify the algorithm to record the path.
- Not difficult
 - Use an additional predecessor array $pred[0..n-1]$
 - $Pred[w] = v$
 - Means that vertex w was visited by v

BFS + PATH FINDING

Algorithm $BFS(s)$

1. for each vertex v
2. do $flag[v] := false$;
3. $pred[v] := -1$; ← Set $pred[v]$ to -1 (let -1 means no path to any vertex)
4. $Q =$ empty queue;
5. $flag[s] := true$;
6. $enqueue(Q, s)$;
7. while Q is not empty
8. do $v := dequeue(Q)$;
9. for each w adjacent to v
10. do if $flag[w] = false$
11. then $flag[w] := true$;
12. $pred[w] := v$; ← Record who visited w
13. $enqueue(Q, w)$

EXAMPLE

Adjacency List

0	8
1	3 7 9 2
2	8 1 4
3	4 5 1
4	2 3
5	3 6
6	7 5
7	1 6
8	2 0 9
9	1 8

Visited Table (T/F)

0	F	-
1	F	-
2	F	-
3	F	-
4	F	-
5	F	-
6	F	-
7	F	-
8	F	-
9	F	-

Pred

Initialize visited table (all empty F)
Initialize Pred to -1

$Q = \{ \}$
Initialize Q to be empty

EXAMPLE

Adjacency List

0	8
1	3 7 9 2
2	8 1 4
3	4 5 1
4	2 3
5	3 6
6	7 5
7	1 6
8	2 0 9
9	1 8

Visited Table (T/F)

0	F	-
1	F	-
2	T	-
3	F	-
4	F	-
5	F	-
6	F	-
7	F	-
8	F	-
9	F	-

Pred

Flag that 2 has been visited.

$Q = \{ 2 \}$
Place source 2 on the queue.

EXAMPLE

Adjacency List

0	8
1	3 7 9 2
2	8 1 4
3	4 5 1
4	2 3
5	3 6
6	7 5
7	1 6
8	2 0 9
9	1 8

Neighbors →

Visited Table (T/F)

0	F	-
1	T	2
2	T	-
3	F	-
4	T	2
5	F	-
6	F	-
7	F	-
8	T	2
9	F	-

Pred

Mark neighbors as visited.

Record in Pred who was visited by 2.

Q = {2} → { 8, 1, 4 }

Dequeue 2.
Place all unvisited neighbors of 2 on the queue

EXAMPLE

Adjacency List

0	8
1	3 7 9 2
2	8 1 4
3	4 5 1
4	2 3
5	3 6
6	7 5
7	1 6
8	2 0 9
9	1 8

Neighbors →

Visited Table (T/F)

0	T	8
1	T	2
2	T	-
3	F	-
4	T	2
5	F	-
6	F	-
7	F	-
8	T	2
9	T	8

Pred

Mark new visited Neighbors.

Record in Pred who was visited by 8.

Q = { 8, 1, 4 } → { 1, 4, 0, 9 }

Dequeue 8.
-- Place all unvisited neighbors of 8 on the queue.
-- Notice that 2 is not placed on the queue again, it has been visited!

EXAMPLE

Adjacency List

0	8
1	3 7 9 2
2	8 1 4
3	4 5 1
4	2 3
5	3 6
6	7 5
7	1 6
8	2 0 9
9	1 8

Neighbors →

Visited Table (T/F)

0	T	8
1	T	2
2	T	-
3	T	1
4	T	2
5	F	-
6	F	-
7	T	1
8	T	2
9	T	8

Pred

Mark new visited Neighbors.

Record in Pred who was visited by 1.

Q = { 1, 4, 0, 9 } → { 4, 0, 9, 3, 7 }

Dequeue 1.
-- Place all unvisited neighbors of 1 on the queue.
-- Only nodes 3 and 7 haven't been visited yet.

EXAMPLE

Adjacency List

0	8
1	3 7 9 2
2	8 1 4
3	4 5 1
4	2 3
5	3 6
6	7 5
7	1 6
8	2 0 9
9	1 8

Neighbors →

Visited Table (T/F)

0	T	8
1	T	2
2	T	-
3	T	1
4	T	2
5	F	-
6	F	-
7	T	1
8	T	2
9	T	8

Pred

$Q = \{4, 0, 9, 3, 7\} \rightarrow \{0, 9, 3, 7\}$

Dequeue 4.
4 has no unvisited neighbors!

EXAMPLE

Adjacency List

0	8
1	3 7 9 2
2	8 1 4
3	4 5 1
4	2 3
5	3 6
6	7 5
7	1 6
8	2 0 9
9	1 8

Neighbors →

Visited Table (T/F)

0	T	8
1	T	2
2	T	-
3	T	1
4	T	2
5	F	-
6	F	-
7	T	1
8	T	2
9	T	8

Pred

$Q = \{0, 9, 3, 7\} \rightarrow \{9, 3, 7\}$

Dequeue 0.
0 has no unvisited neighbors!

EXAMPLE

Adjacency List

0	8
1	3 7 9 2
2	8 1 4
3	4 5 1
4	2 3
5	3 6
6	7 5
7	1 6
8	2 0 9
9	1 8

Neighbors →

Visited Table (T/F)

0	T	8
1	T	2
2	T	-
3	T	1
4	T	2
5	F	-
6	F	-
7	T	1
8	T	2
9	T	8

Pred

$Q = \{9, 3, 7\} \rightarrow \{3, 7\}$

Dequeue 9.
9 has no unvisited neighbors!

EXAMPLE

Adjacency List

0	8
1	3 7 9 2
2	8 1 4
3	4 5 1
4	2 3
5	3 6
6	7 5
7	1 6
8	2 0 9
9	1 8

Neighbors →

Visited Table (T/F)

0	T	8
1	T	2
2	T	-
3	T	1
4	T	2
5	T	3
6	F	-
7	T	1
8	T	2
9	T	8

Pred

Q = {3, 7} → {7, 5}

Dequeue 3.
Place neighbor 5 on the queue.

Mark new visited Vertex 5.
Record in Pred who was visited by 3.

EXAMPLE

Adjacency List

0	8
1	3 7 9 2
2	8 1 4
3	4 5 1
4	2 3
5	3 6
6	7 5
7	1 6
8	2 0 9
9	1 8

Neighbors →

Visited Table (T/F)

0	T	8
1	T	2
2	T	-
3	T	1
4	T	2
5	T	3
6	T	7
7	T	1
8	T	2
9	T	8

Pred

Q = {7, 5} → {5, 6}

Dequeue 7.
Place neighbor 6 on the queue.

Mark new visited Vertex 6.
Record in Pred who was visited by 7.

EXAMPLE

Adjacency List

0	8
1	3 7 9 2
2	8 1 4
3	4 5 1
4	2 3
5	3 6
6	7 5
7	1 6
8	2 0 9
9	1 8

Neighbors →

Visited Table (T/F)

0	T	8
1	T	2
2	T	-
3	T	1
4	T	2
5	T	3
6	T	7
7	T	1
8	T	2
9	T	8

Pred

Q = {5, 6} → {6}

Dequeue 5.
Place neighbor 6 on the queue.

EXAMPLE

Adjacency List

0	8
1	3 7 9 2
2	8 1 4
3	4 5 1
4	2 3
5	3 6
6	7 5
7	1 6
8	2 0 9
9	1 8

Neighbors →

Visited Table (T/F)

0	T	8
1	T	2
2	T	-
3	T	1
4	T	2
5	T	3
6	F	7
7	F	1
8	F	2
9	F	8

Pred

$Q = \{6\} \rightarrow \{\}$

Dequeue 6.
no unvisited neighbors of 6.

EXAMPLE

Adjacency List

0	8
1	3 7 9 2
2	8 1 4
3	4 5 1
4	2 3
5	3 6
6	7 5
7	1 6
8	2 0 9
9	1 8

Neighbors →

Visited Table (T/F)

0	T	8
1	T	2
2	T	-
3	T	1
4	T	2
5	T	3
6	T	7
7	T	1
8	T	2
9	T	8

Pred

$Q = \{6\}$ STOP!!! Q is empty!!!

Pred now stores the path!

PRED ARRAY REPRESENTS PATHS

nodes visited by

0	8
1	2
2	-
3	1
4	2
5	3
6	7
7	1
8	2
9	8

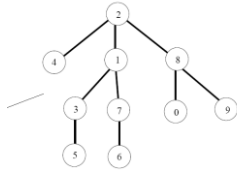
Algorithm Path(w)

1. if $pred[w] \neq -1$
2. then
3. Path(pred[w]);
4. output w

Try some examples.
Path(0) ->
Path(6) ->
Path(1) ->

BFS TREE

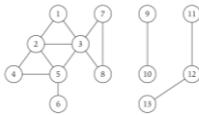
- We often draw the BFS paths as a m-ary tree, where s is the root.



Question: What would a "level" order traversal tell you?

CONNECTED COMPONENT

- Connected component. Find all nodes reachable from s .



FLOOD FILL

- Flood fill. Given lime green pixel in an image, change color of entire blob of neighboring lime pixels to blue.
 - Node: pixel.
 - Edge: two neighboring lime pixels.
 - Blob: connected component of lime pixels.



FLOOD FILL

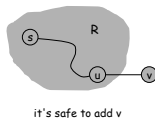
- Flood fill. Given lime green pixel in an image, change color of entire blob of neighboring lime pixels to blue.
 - Node: pixel.
 - Edge: two neighboring lime pixels.
 - Blob: connected component of lime pixels.



CONNECTED COMPONENT

- Connected component. Find all nodes reachable from s .

R will consist of nodes to which s has a path
 Initially $R = \{s\}$
 While there is an edge (u, v) where $u \in R$ and $v \notin R$
 Add v to R
 Endwhile

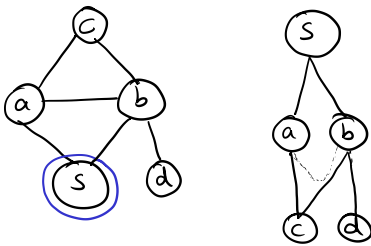


MORE ON PATHS AND TREES IN GRAPHS

BFS

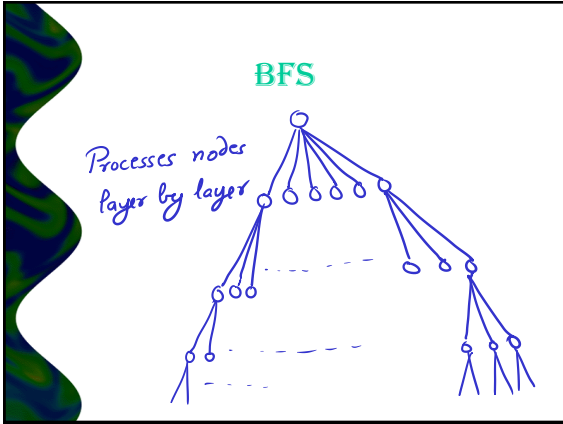
- Another way to think of the BFS tree is the physical analogy of the BFS Tree.
- Sphere-String Analogy : Think of the nodes as spheres and edges as unit length strings. Lift the sphere for vertex s .

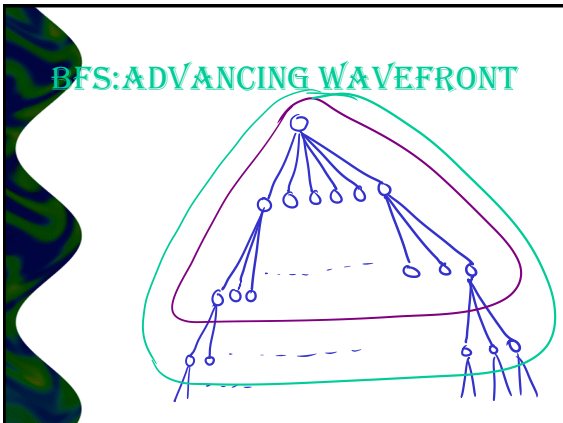
SPHERE-STRING ANALOGY



BFS : PROPERTIES

- At some point in the running of BFS, Q only contains vertices/nodes at layer d .
- If u is removed before v in BFS then $\text{dist}(u) \leq \text{dist}(v)$
- At the end of BFS, for each vertex v reachable from s , the $\text{dist}(v)$ equals the shortest path length from s to v .





OLD WINE IN NEW BOTTLE

```

forall v ∈ V:
    dist(v) = ∞; prev(v) = null;
dist(s) = 0
Queue q; q.push(s);
while (!Q.empty())
    v = Q.dequeue();
    for all e=(v,w) in E
        if dist(w) = ∞:
            - dist(w) = dist(v)+1
            - Q.enqueue(w)
            - prev(w)= v
    
```

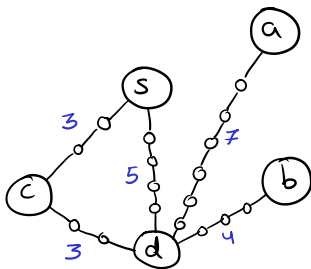
DIJKSTRA'S SSSP ALG BFS WITH POSITIVE INT WEIGHTS

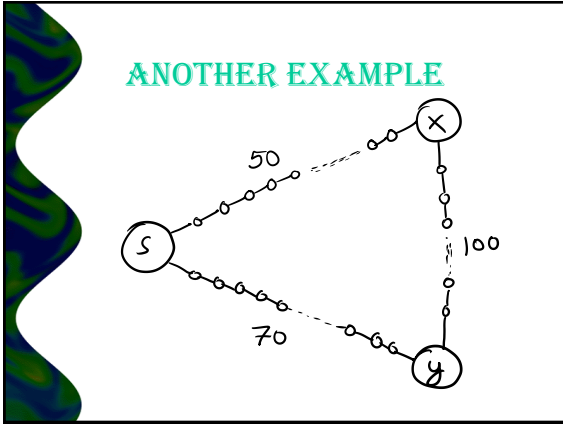
- for every edge $e=(a,b) \in E$, let w_e be the weight associated with it. Insert w_e-1 dummy nodes between a and b . Call this new graph G' .
- Run BFS on G' . $\text{dist}(u)$ is the shortest path length from s to node u .
- Why is this algorithm bad?

HOW DO WE SPEED IT UP?

- If we could run BFS without actually creating G' , by somehow simulating BFS of G' on G directly.
- Solution: Put a system of alarms on all the nodes. When the BFS on G' reaches a node of G , an alarm is sounded. Nothing interesting can happen before an alarm goes off.

AN EXAMPLE





ALARM CLOCK ALG

alarm(s) = 0
 until no more alarms

- wait for an alarm to sound. Let next alarm that goes off is at node v at time t.
 - dist(s,v) = t
 - for each neighbor w of v in G:
 - If there is no alarm for w, alarm(w) = t+weight(v,w)
 - If w's alarm is set further in time than t+weight(v,w), reset it to t+weight(v,w).

RECALL BFS

```

for all v ∈ V:
    dist(v) = ∞; prev(v) = null;
dist(s) = 0
Queue q; q.push(s);
while (!Q.empty())
    v = Q.dequeue();
    for all e=(v,w) in E
        if dist(w) = ∞:
            - dist(w) = dist(v)+1
            - Q.enqueue(w)
            - prev(w) = v
    
```

DIJKSTRA'S SSSP

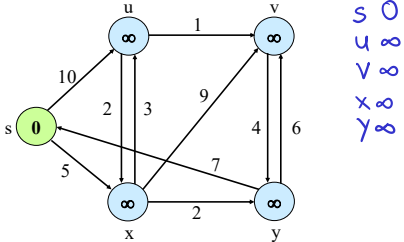
```

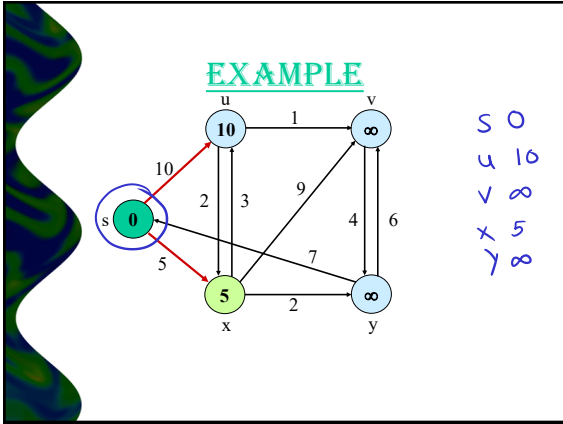
for all v ∈ V:
    dist(v) = ∞; prev(v) = null;
dist(s) = 0
Magic_DS Q; Q.insert(s,0);
while (!Q.empty())
    v = Q.delete_min();
    for all e=(v,w) in E
        if dist(w) > dist(v)+weight(v,w) :
            - dist(w) = dist(v)+weight(v,w)
            - Q.insert(w, dist(w))
            - prev(w)= v
    
```

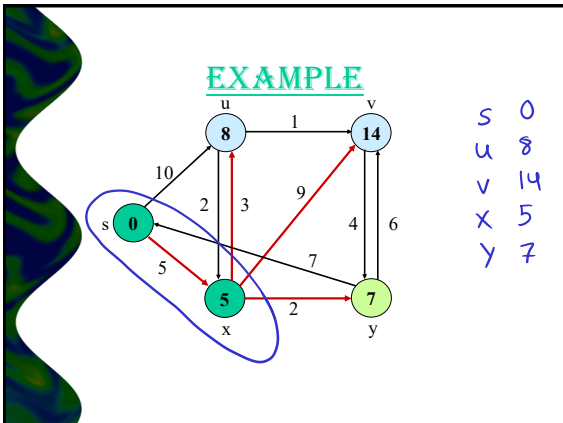
THE MAGIC DS: PQ

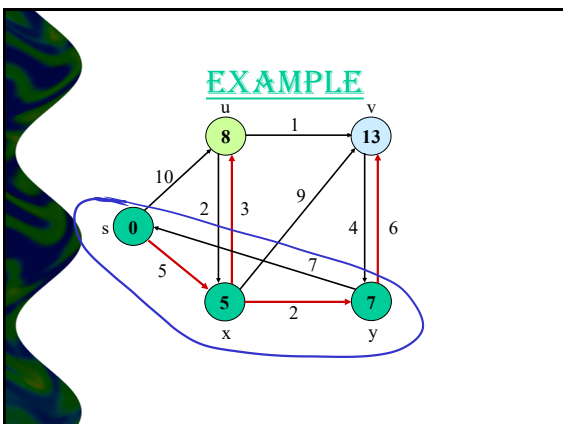
- What functions do we need?
 - insert() : Insert an element and its key. If the element is already there, change its key (only if the key decreases).
 - delete_min() : Return the element with the smallest key and remove it from the set.

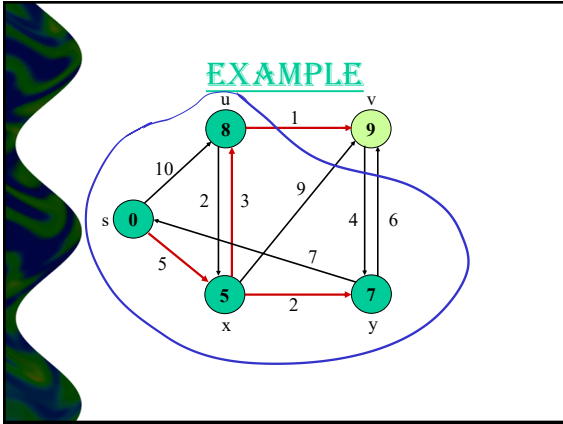
EXAMPLE

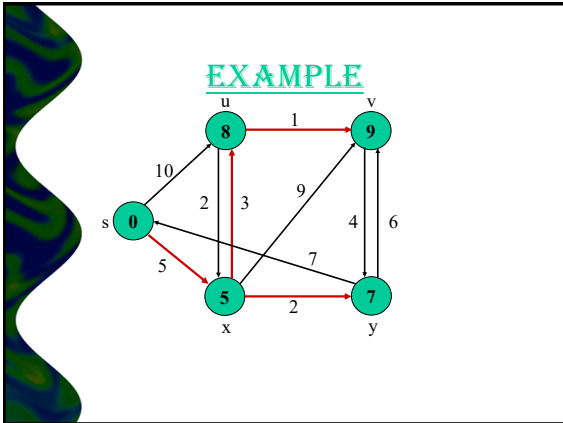












- ANOTHER VIEW
REGION GROWTH**
1. Start from s
 2. Grow a region R around s such that the SPT from s is known inside the region.
 3. Add v to R such that v is the closest node to s outside R.
 4. Keep building this region till R = V.

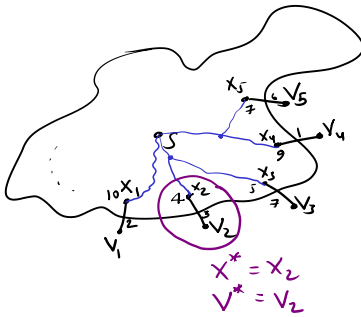
HOW DO WE FIND V ?

Pick $v \in \mathcal{R}$ st.

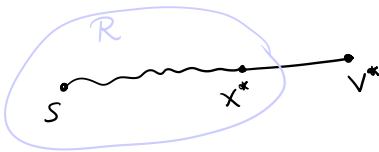
$$\min_{x \in \mathcal{R}} \text{dist}(s, x) + \text{weight}(x, v)$$

Let (x^*, v^*) be the opt.

EXAMPLE



s, v^*



Is this the shortest path to v^* ?

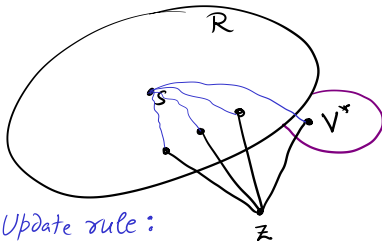
Why?

OLD WINE IN NEW BOTTLE

```

for all v ∈ V:
    dist(v) = ∞; prev(v) = null;
dist(s) = 0
R = {};
while R != V
    Pick v not in R with smallest distance to s
    for all edges (v,z) ∈ E
        if(dist(z) > dist(v) + weight(v,z))
            dist(z) = dist(v)+weight(v,z)
            prev(z) = v;
    Add v to R
    
```

UPDATES



Update rule:
(Best way to reach z?)

RUNNING TIME?

delete_min = ?
insert = ?

RUNNING TIME?

$$\text{delete_min} = |V|$$

$$\text{insert} = |E|$$

RUNNING TIME?

- If we used a linked list as our magic data structure?

$$\text{delete_min}() \rightarrow O(|V|)$$

$$\text{insert}() \rightarrow \cancel{O(1)} O(|V|)$$

$$\text{Total} = |V| \text{ delete_min}() + |E| \text{ insert}() = \cancel{O(|V|^2)} O(|V|^2)$$

BINARY HEAP?

$$\text{delete_min}() \rightarrow O(\log |V|)$$

$$\text{insert}() \rightarrow O(\log |V|)$$

$$\text{Total} \rightarrow O(|E| \log |V|)$$

D-ARY HEAP

Why?

$$\text{delete_min}() \rightarrow O(d \log_d |V|)$$

$$\text{insert}() \rightarrow O(\log_d |V|)$$

$$\text{Total} \rightarrow O((|V|d + |E|) \log_d |V|)$$

FIBONACCI HEAP

$$\text{delete_min}() \rightarrow O(1)$$

Amortized

$$\text{insert}() \rightarrow O(\log |V|)$$

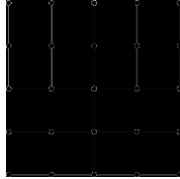
$$\text{Total} \rightarrow O(|V| \log |V| + |E|)$$

A SPANNING TREE

- Recall?
- Is it unique?
- Is shortest path tree a spanning tree?
- Is there an easy way to build a spanning tree for a given graph G?
- Is it defined for disconnected graphs?

SPANNING TREE

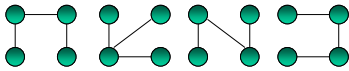
Connected subset of a graph G with $n-1$ edges which contains all of V .



SPANNING TREE



A connected, undirected graph



Some spanning trees of the graph

EASY ALGORITHM

To build a spanning tree:

Step 1: $T =$ one node in V , as root.

Step 2: At each step, add to tree one edge from a node in tree to a node that is not yet in the tree.

SPANNING TREE PROPERTY

Adding an edge $e=(a,b)$ not in the tree creates a cycle containing only edge e and edges in spanning tree.

Why?

SPANNING TREE PROPERTY

- Let c be the first node common to the path from a and b to the root of the spanning tree.
- The concatenation of (a,b) (b,c) (c,a) gives us the desired cycle.

LEMMA 1

- In any tree, $T = (V,E)$,
 $|E| = |V| - 1$
- Why?

LEMMA 1

- In any tree, $T = (V,E)$,
 $|E| = |V| - 1$
- Why?
- Tree T with 1 node has zero edges.
 For all $n > 0$, $P(n)$ holds, where
- $P(n)$: A Tree with n nodes has $n-1$ edges.
- Apply MI. How do we prove that given $P(m)$ true for all $1..m$, $P(m+1)$ is true?

UNDIRECTED GRAPHS N TREES

- An undirected graph $G = (V,E)$ is a tree iff
 - (1) it is connected
 - (2) $|E| = |V| - 1$

LEMMA 2

Let C be the cycle created in a spanning tree T by adding the edge $e = (a,b)$ not in the tree. Then removing any edge from C yields another spanning tree.

Why? How many edges and vertices does the new graph have? Can (x,y) in G get disconnected in this new tree?

LEMMA 2

- Let T' be the new graph
- T' has n nodes and $n-1$ edges, so it must be a tree if it is connected.
- Let (x,y) be not connected in T' . The only problem in the connection can be the removed edge (a,b) . But if (a,b) was contained in the path from x to y , we can use the cycle C to reach y (even if (a,b) was deleted from the graph).

WEIGHTED SPANNING TREES

Let w_e be the weight of an edge e in $G=(V,E)$.

Weight of spanning tree = Sum of edge weights.

Question: How do we find the spanning tree with minimum weight.
This spanning tree is also called the Minimum Spanning Tree.

Is the MST unique?

MINIMUM SPANNING TREES

- Applications
 - networks
 - cluster analysis
 - used in graphics/pattern recognition
 - approximation algorithms (TSP)
 - bioinformatics/CFD

CUT PROPERTY

- Let X be a subset of V . Among edges crossing between X and $V \setminus X$, let e be the edge of minimum weight. Then e belongs to the MST.
- Proof?

CYCLE PROPERTY

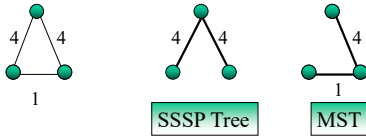
- For any cycle C in a graph, the heaviest edge in C does not appear in the MST.
- Proof?

QUESTION

- Is the SSSP Tree and the Minimum spanning tree the same?
- Is one the subset of the other always?

QUESTION

- Is the SSSP Tree and the Minimum spanning tree the same?
- Is one the subset of the other always?



OLD WINE IN NEW BOTTLE

```

forall v ∈ V:
    dist(v) = ∞; prev(v) = null;
dist(s) = 0
Heap Q; Q.insert(s,0);
while (!Q.empty())
    v = Q.delete_min();
    for all e=(v,w) in E
        if dist(w) > dist(v)+weight(v,w) :
            - dist(w) = dist(v)+weight(v,w)
            - Q.insert(w, dist(w))
            - prev(w)= v
    
```

A SLIGHT MODIFICATION JARNIK'S OR PRIM'S ALG.

```

forall v ∈ V:
    dist(v) = ∞; prev(v) = null;
dist(s) = 0
Heap Q; Q.insert(s,0);
while (!Q.empty())
    v = Q.delete_min();
    for all e=(v,w) in E
        if dist(w) > dist(v)+ weight(v,w) :
            - dist(w) = dist(v)+ weight(v,w)
            - Q.insert(w, dist(w))
            - prev(w)= v
    
```

OUR FIRST MST ALG.

```

forall v ∈ V:
  dist(v) = ∞; prev(v) = null;
dist(s) = 0
Magic_DS Q; Q.insert(s,0);
while (!Q.empty())
  v = Q.delete_min();
  for all e=(v,w) in E
    if dist(w) > weight(v,w) :
      - dist(w) = weight(v,w)
      - Q.insert(w, dist(w))
      - prev(w)= v

```

HOW DOES THE RUNNING TIME DEPEND ON THE MAGIC_DS?

- heap?
- insert()?
- delete_min()?
- Total time?
- What if we change the Magic_DS to fibonacci heap?

PRIM'S/JARNIK'S ALGORITHM

- best running time using fibonacci heaps
 - $O(E + V \log V)$
- Why does it compute the MST?

ANOTHER ALG: KRUSHKAL'S

- sort the edges of G in increasing order of weights
- Let $S = \{\}$
- for each edge e in G in sorted order
 - if the endpoints of e are disconnected in S
 - Add e to S

HAVE U SEEN THIS BEFORE?

- Sort edges of G in increasing order of weight
- $T = \{\}$ // Collection of trees
- For all e in E
 - If $T \cup \{e\}$ has no cycles in T
 - then $T = T \cup \{e\}$

return T

Naïve running time $O((|V|+|E|)|V|) = O(|E||V|)$

HOW TO SPEED IT UP?

- To $O(E + V \log V)$
 - Using union find data structures.
- Surprisingly the idea is very simple.

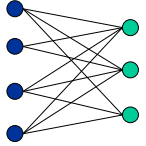
OTHER APPLICATIONS

3.4 TESTING BIPARTITENESS

BIPARTITE GRAPHS

Def. An undirected graph $G = (V, E)$ is **bipartite** if the nodes can be colored red or blue such that every edge has one red and one blue end.

- Applications.
 - Stable marriage: men = red, women = blue.
 - Scheduling: machines = red, jobs = blue.

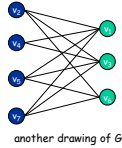
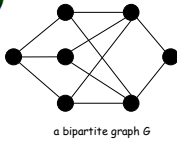


a bipartite graph

TESTING BIPARTITENESS

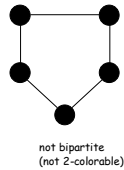
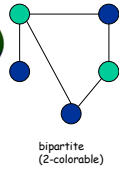
Testing bipartiteness. Given a graph G , is it bipartite?

- Many graph problems become:
 - easier if the underlying graph is bipartite (matching)
 - tractable if the underlying graph is bipartite (independent set)
- Before attempting to design an algorithm, we need to understand structure of bipartite graphs.



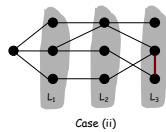
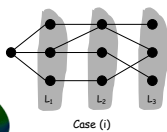
AN OBSTRUCTION TO BIPARTITENESS

- Lemma. If a graph G is bipartite, it cannot contain an odd length cycle.
- Pf. Not possible to 2-color the odd cycle, let alone G .



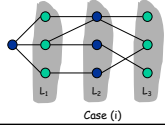
BIPARTITE GRAPHS

- Lemma. Let G be a connected graph, and let L_0, \dots, L_k be the layers produced by BFS starting at node s . Exactly one of the following holds.
 - (i) No edge of G joins two nodes of the same layer, and G is bipartite.
 - (ii) An edge of G joins two nodes of the same layer, and G contains an odd-length cycle (and hence is not bipartite).



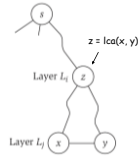
BIPARTITE GRAPHS

- Lemma. Let G be a connected graph, and let L_0, \dots, L_k be the layers produced by BFS starting at node s . Exactly one of the following holds.
 - (i) No edge of G joins two nodes of the same layer, and G is bipartite.
 - (ii) An edge of G joins two nodes of the same layer, and G contains an odd-length cycle (and hence is not bipartite).
- Pf. (i)
 - Suppose no edge joins two nodes in the same layer.
 - By previous lemma, this implies all edges join nodes on same level.
 - Bipartition: red = nodes on odd levels, blue = nodes on even levels.



BIPARTITE GRAPHS

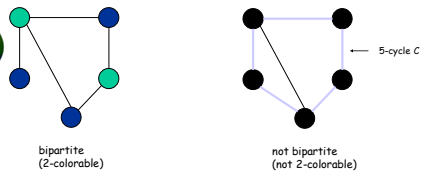
- Lemma. Let G be a connected graph, and let L_0, \dots, L_k be the layers produced by BFS starting at node s . Exactly one of the following holds.
 - (i) No edge of G joins two nodes of the same layer, and G is bipartite.
 - (ii) An edge of G joins two nodes of the same layer, and G contains an odd-length cycle (and hence is not bipartite).
- (ii)
 - Suppose (x, y) is an edge with x, y in same level L_j .
 - Let $z = \text{lca}(x, y)$ = lowest common ancestor.
 - Let L_i be level containing z .
 - Consider cycle that takes edge from x to y , then path from y to z , then path from z to x .
 - Its length is $1 + \underbrace{(j-i)}_{\text{path from } y \text{ to } z} + \underbrace{(j-i)}_{\text{path from } z \text{ to } x}$, which is odd. *



(x, y) path from y to z path from z to x

OBSTRUCTION TO BIPARTITENESS

- Corollary. A graph G is bipartite iff it contain no odd length cycle.



3.5 CONNECTIVITY IN DIRECTED GRAPHS

DIRECTED GRAPHS

- Directed graph. $G = (V, E)$
 - Edge (u, v) goes from node u to node v .

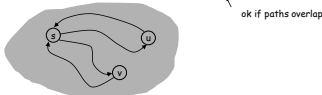
- Ex. Web graph - hyperlink points from one web page to another.
 - Directedness of graph is crucial.
 - Modern web search engines exploit hyperlink structure to rank web pages by importance.

GRAPH SEARCH

- Directed reachability. Given a node s , find all nodes reachable from s .
- Directed s - t shortest path problem. Given two nodes s and t , what is the length of the shortest path between s and t ?
- Graph search. BFS extends naturally to directed graphs.
- Web crawler. Start from web page s . Find all web pages linked from s , either directly or indirectly.

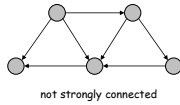
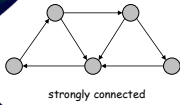
STRONG CONNECTIVITY

- Def. Node u and v are **mutually reachable** if there is a path from u to v and also a path from v to u .
- Def. A graph is **strongly connected** if every pair of nodes is mutually reachable.
- Lemma. Let s be any node. G is strongly connected iff every node is reachable from s , and s is reachable from every node.
- Pf. \Rightarrow Follows from definition.
- Pf. \Leftarrow Path from u to v : concatenate u - s path with s - v path.
Path from v to u : concatenate v - s path with s - u path. •



STRONG CONNECTIVITY: ALGORITHM

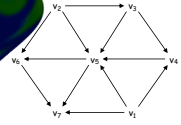
- Theorem. Can determine if G is strongly connected in $O(m + n)$ time.
- Pf.
 - Pick any node s .
 - Run BFS from s in G .
 - Run BFS from s in G^{rev} .
- Return true iff all nodes reached in both BFS executions.
- Correctness follows immediately from previous lemma. •



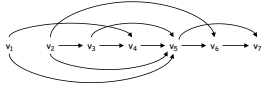
3.6 DAGS AND TOPOLOGICAL ORDERING

DIRECTED ACYCLIC GRAPHS

- Def. An DAG is a directed graph that contains no directed cycles.
- Ex. Precedence constraints: edge (v_i, v_j) means v_i must precede v_j .
- Def. A **topological order** of a directed graph $G = (V, E)$ is an ordering of its nodes as v_1, v_2, \dots, v_n , so that for every edge (v_i, v_j) we have $i < j$.



a DAG



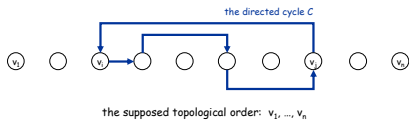
a topological ordering

PRECEDENCE CONSTRAINTS

- Precedence constraints. Edge (v_i, v_j) means task v_i must occur before v_j .
- Applications.
 - Course prerequisite graph: course v_i must be taken before v_j .
 - Compilation: module v_i must be compiled before v_j . Pipeline of computing jobs: output of job v_i needed to determine input of job v_j .

DIRECTED ACYCLIC GRAPHS

- Lemma. If G has a topological order, then G is a DAG.
- Pf. [by contradiction]
 - Suppose that G has a topological order v_1, \dots, v_n and that G also has a directed cycle C . Let's see what happens.
 - Let v_i be the lowest-indexed node in C , and let v_j be the node just before v_i ; thus (v_j, v_i) is an edge.
 - By our choice of i , we have $i < j$.
 - On the other hand, since (v_j, v_i) is an edge and v_1, \dots, v_n is a topological order, we must have $j < i$, a contradiction. •



DIRECTED ACYCLIC GRAPHS

- Lemma. If G has a topological order, then G is a DAG.
- Q. Does every DAG have a topological ordering?
- Q. If so, how do we compute one?

DIRECTED ACYCLIC GRAPHS

Lemma. If G is a DAG, then G has a node with no incoming edges.

- Pf. *(by contradiction)*
 - Suppose that G is a DAG and every node has at least one incoming edge. Let's see what happens.
 - Pick any node v , and begin following edges backward from v . Since v has at least one incoming edge (u, v) we can walk backward to u .
 - Then, since u has at least one incoming edge (x, u) , we can walk backward to x .
 - Repeat until we visit a node, say w , twice.
 - Let C denote the sequence of nodes encountered between successive visits to w . C is a cycle. •

DIRECTED ACYCLIC GRAPHS

Lemma. If G is a DAG, then G has a topological ordering.

- Pf. *(by induction on n)*
 - Base case: true if $n = 1$.
 - Given DAG on $n > 1$ nodes, find a node v with no incoming edges.
 - $G - \{v\}$ is a DAG, since deleting v cannot create cycles.
 - By inductive hypothesis, $G - \{v\}$ has a topological ordering.
 - Place v first in topological ordering; then append nodes of $G - \{v\}$
 - in topological order. This is valid since v has no incoming edges. •

To compute a topological ordering of G :
 Find a node v with no incoming edges and order it first
 Delete v from G
 Recursively compute a topological ordering of $G - \{v\}$
 and append this order after v

TOPOLOGICAL SORTING ALGORITHM: RUNNING TIME

- Theorem. Algorithm finds a topological order in $O(m + n)$ time.
- Pf.
 - Maintain the following information:
 - $\text{count}[w]$ = remaining number of incoming edges
 - S = set of remaining nodes with no incoming edges
 - Initialization: $O(m + n)$ via single scan through graph.
 - Update: to delete v
 - remove v from S
 - decrement $\text{count}[w]$ for all edges from v to w , and add w to S if $\text{count}[w]$ hits 0
 - this is $O(1)$ per edge
