#### **Chapter 11: File System Implementation**

- File-System Structure
- File-System Implementation
- Directory Implementation
- Allocation Methods
- Free-Space Management
- Efficiency and Performance
- Recovery
- Log-Structured File Systems
- NFS
- Example: WAFL File System

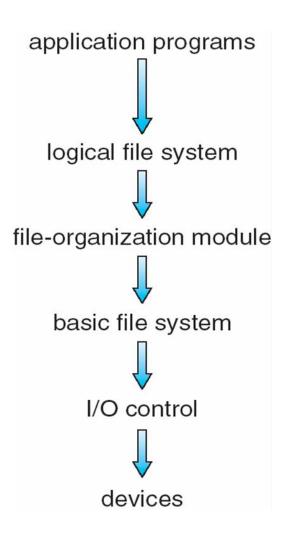
### **Objectives**

- To describe the details of implementing local file systems and directory structures
- To describe the implementation of remote file systems
- To discuss block allocation and free-block algorithms and trade-offs

### File-System Structure

- File structure
  - Logical storage unit
  - Collection of related information
- File system resides on secondary storage (disks)
- File system organized into layers
- File control block storage structure consisting of information about a file

# **Layered File System**



### **A Typical File Control Block**

file permissions

file dates (create, access, write)

file owner, group, ACL

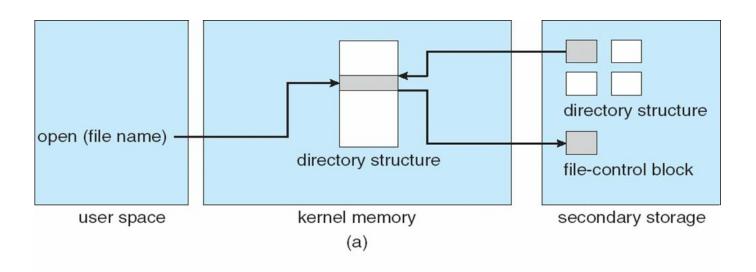
file size

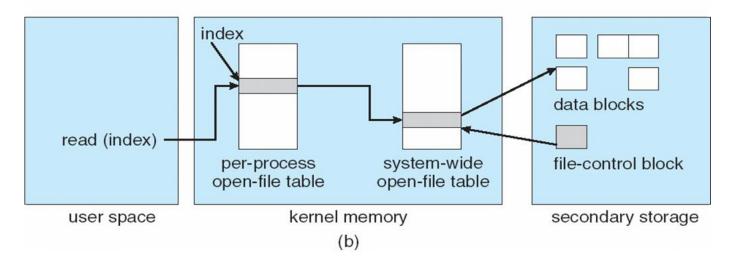
file data blocks or pointers to file data blocks

### **In-Memory File System Structures**

- The following figure illustrates the necessary file system structures provided by the operating systems.
- Figure 12-3(a) refers to opening a file.
- Figure 12-3(b) refers to reading a file.

### **In-Memory File System Structures**

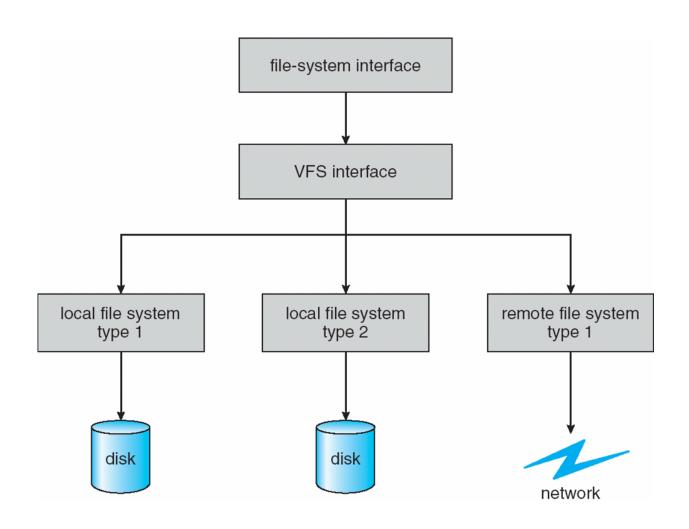




### **Virtual File Systems**

- Virtual File Systems (VFS) provide an object-oriented way of implementing file systems.
- VFS allows the same system call interface (the API) to be used for different types of file systems.
- The API is to the VFS interface, rather than any specific type of file system.

# **Schematic View of Virtual File System**



### **Directory Implementation**

- Linear list of file names with pointer to the data blocks.
  - simple to program
  - time-consuming to execute
- Hash Table linear list with hash data structure.
  - decreases directory search time
  - collisions situations where two file names hash to the same location
  - fixed size

#### **Allocation Methods**

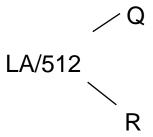
- An allocation method refers to how disk blocks are allocated for files:
- Contiguous allocation
- Linked allocation
- Indexed allocation

### **Contiguous Allocation**

- Each file occupies a set of contiguous blocks on the disk
- Simple only starting location (block #) and length (number of blocks) are required
- Random access
- Wasteful of space (dynamic storage-allocation problem)
- Files cannot grow

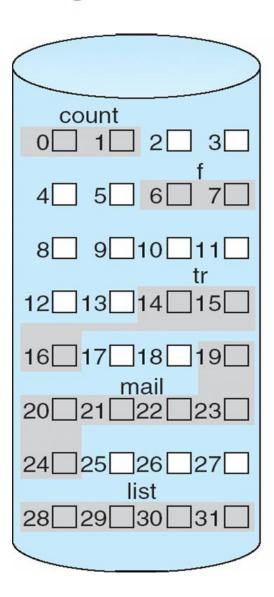
### **Contiguous Allocation**

Mapping from logical to physical



Block to be accessed = ! + starting address Displacement into block = R

### **Contiguous Allocation of Disk Space**



#### directory

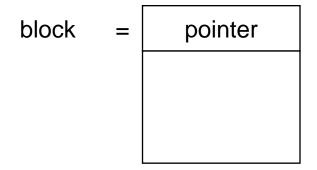
file	start	length
count	0	2
tr	14	3
mail	19	6
list	28	4
f	6	2

### **Extent-Based Systems**

- Many newer file systems (I.e. Veritas File System) use a modified contiguous allocation scheme
- Extent-based file systems allocate disk blocks in extents
- An extent is a contiguous block of disks
  - Extents are allocated for file allocation
  - A file consists of one or more extents.

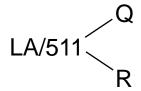
#### **Linked Allocation**

Each file is a linked list of disk blocks: blocks may be scattered anywhere on the disk.



### **Linked Allocation (Cont.)**

- Simple need only starting address
- Free-space management system no waste of space
- No random access
- Mapping

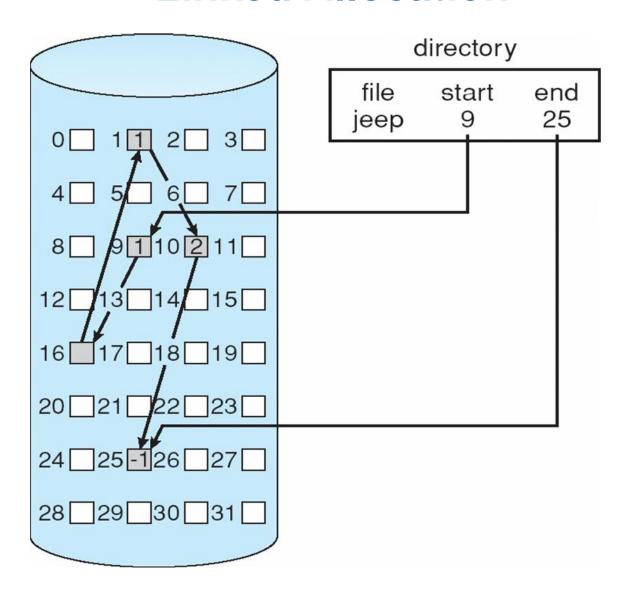


Block to be accessed is the Qth block in the linked chain of blocks representing the file.

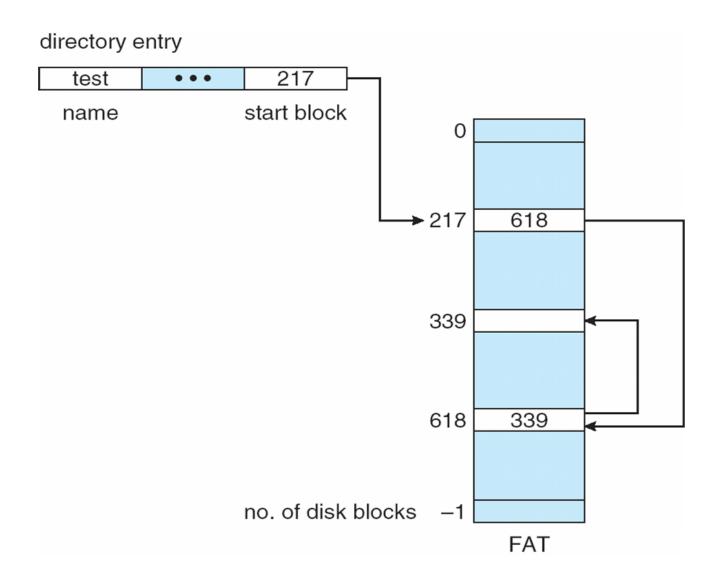
Displacement into block = R + 1

File-allocation table (FAT) – disk-space allocation used by MS-DOS and OS/2.

#### **Linked Allocation**

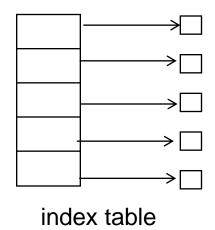


#### **File-Allocation Table**

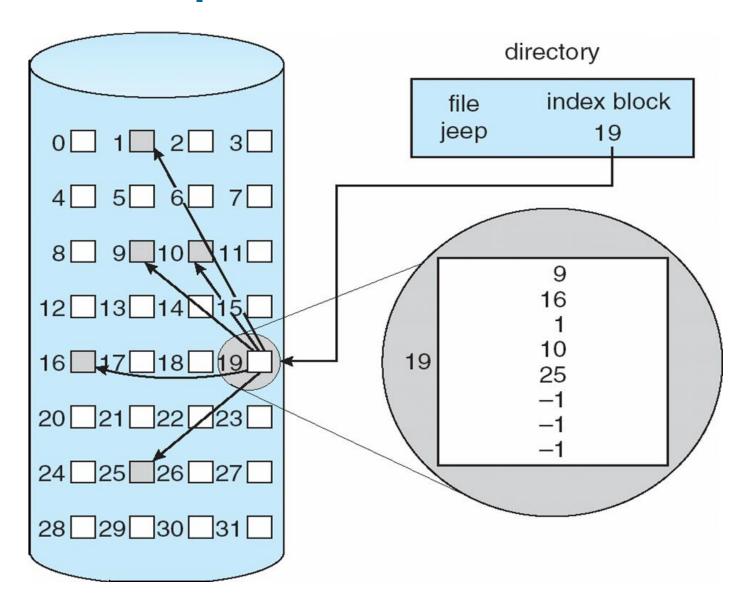


#### **Indexed Allocation**

- Brings all pointers together into the *index block*.
- Logical view.

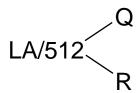


### **Example of Indexed Allocation**



### **Indexed Allocation (Cont.)**

- Need index table
- Random access
- Dynamic access without external fragmentation, but have overhead of index block.
- Mapping from logical to physical in a file of maximum size of 256K words and block size of 512 words. We need only 1 block for index table.



Q = displacement into index table

R = displacement into block

## Indexed Allocation - Mapping (Cont.)

- Mapping from logical to physical in a file of unbounded length (block size of 512 words).
- Linked scheme Link blocks of index table (no limit on size).

LA / (512 x 511) 
$$\stackrel{Q_1}{\underset{R_1}{\checkmark}}$$

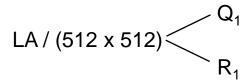
 $Q_1$  = block of index table  $R_1$  is used as follows:

$$R_1 / 512 < Q_2 R_2$$

 $Q_2$  = displacement into block of index table  $R_2$  displacement into block of file:

## Indexed Allocation - Mapping (Cont.)

■ Two-level index (maximum file size is 512³)

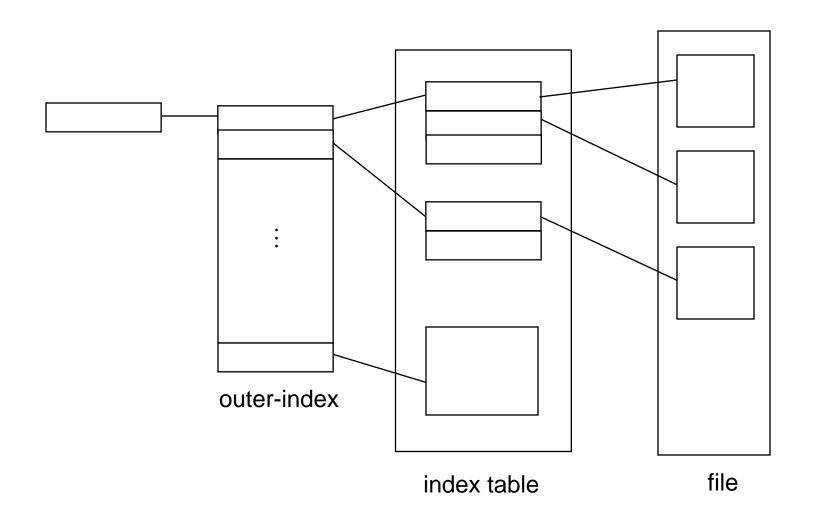


 $Q_1$  = displacement into outer-index  $R_1$  is used as follows:

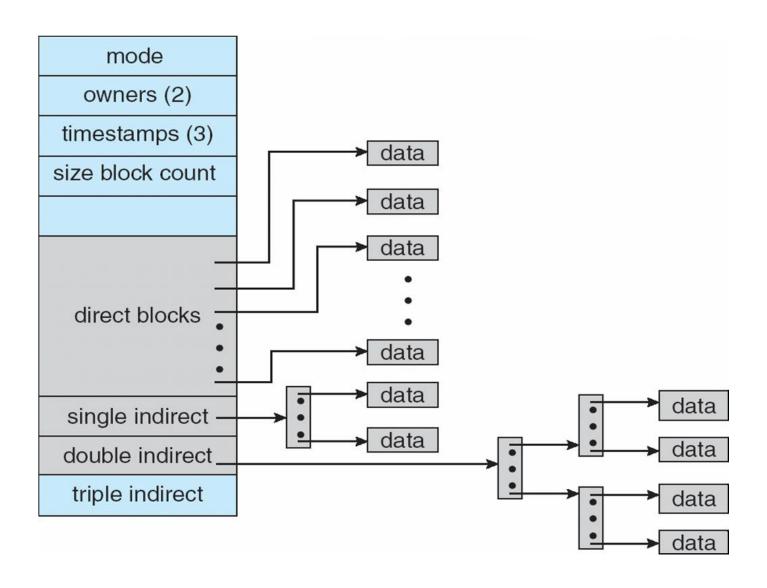
$$R_1 / 512 < Q_2$$

 $Q_2$  = displacement into block of index table  $R_2$  displacement into block of file:

### Indexed Allocation – Mapping (Cont.)

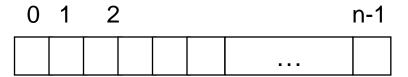


### Combined Scheme: UNIX (4K bytes per block)



### **Free-Space Management**

■ Bit vector (*n* blocks)



$$bit[i] = \begin{cases} 0 \Rightarrow block[i] \text{ free} \\ 1 \Rightarrow block[i] \text{ occupied} \end{cases}$$

Block number calculation

(number of bits per word) \* (number of 0-value words) + offset of first 1 bit

## Free-Space Management (Cont.)

- Bit map requires extra space
  - Example:

```
block size = 2^{12} bytes
disk size = 2^{30} bytes (1 gigabyte)
n = 2^{30}/2^{12} = 2^{18} bits (or 32K bytes)
```

- Easy to get contiguous files
- Linked list (free list)
  - Cannot get contiguous space easily
  - No waste of space
- Grouping
- Counting

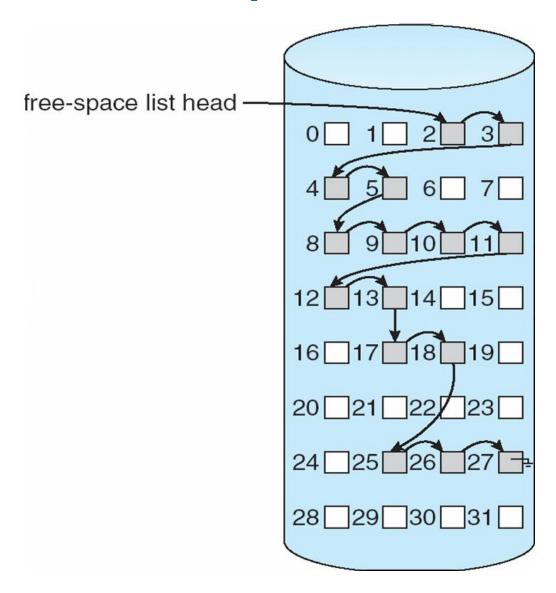
## Free-Space Management (Cont.)

- Need to protect:
  - Pointer to free list
  - Bit map
    - Must be kept on disk
    - Copy in memory and disk may differ
    - Cannot allow for block[i] to have a situation where bit[i] = 1 in memory and bit[i] = 0 on disk
  - Solution:
    - Set bit[i] = 1 in disk
    - Allocate block[i]
    - Set bit[i] = 1 in memory

### **Directory Implementation**

- Linear list of file names with pointer to the data blocks
  - simple to program
  - time-consuming to execute
- Hash Table linear list with hash data structure
  - decreases directory search time
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### **Linked Free Space List on Disk**



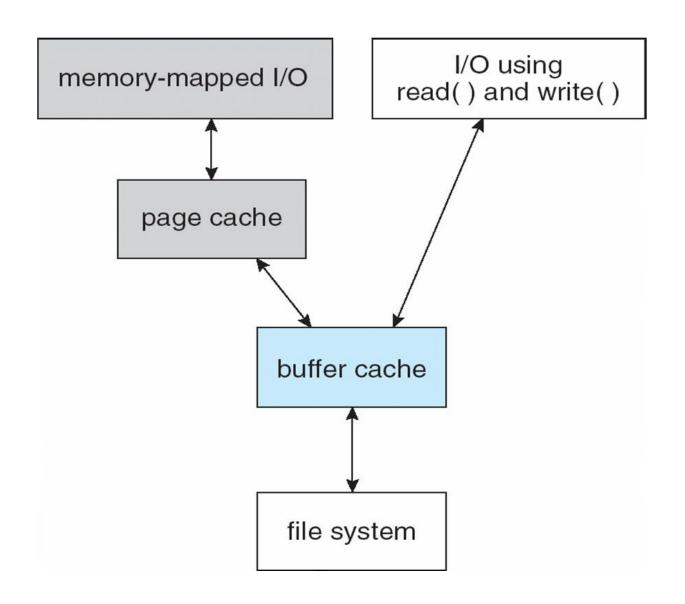
### **Efficiency and Performance**

- Efficiency dependent on:
  - disk allocation and directory algorithms
  - types of data kept in file's directory entry
- Performance
  - disk cache separate section of main memory for frequently used blocks
  - free-behind and read-ahead techniques to optimize sequential access
  - improve PC performance by dedicating section of memory as virtual disk, or RAM disk

### **Page Cache**

- A page cache caches pages rather than disk blocks using virtual memory techniques
- Memory-mapped I/O uses a page cache
- Routine I/O through the file system uses the buffer (disk) cache
- This leads to the following figure

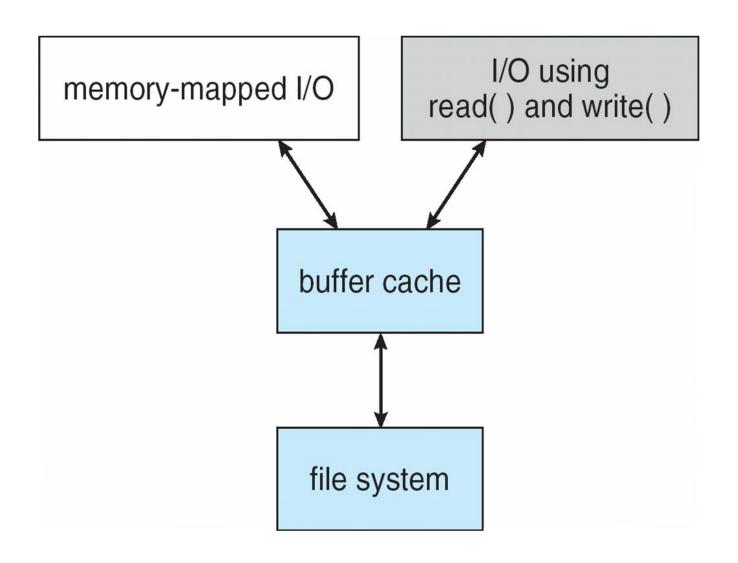
#### I/O Without a Unified Buffer Cache



#### **Unified Buffer Cache**

A unified buffer cache uses the same page cache to cache both memorymapped pages and ordinary file system I/O

### I/O Using a Unified Buffer Cache



### Recovery

- Consistency checking compares data in directory structure with data blocks on disk, and tries to fix inconsistencies
- Use system programs to back up data from disk to another storage device (floppy disk, magnetic tape, other magnetic disk, optical)
- Recover lost file or disk by restoring data from backup

### Log Structured File Systems

- Log structured (or journaling) file systems record each update to the file system as a transaction
- All transactions are written to a log
  - A transaction is considered committed once it is written to the log
  - However, the file system may not yet be updated
- The transactions in the log are asynchronously written to the file system
  - When the file system is modified, the transaction is removed from the log
- If the file system crashes, all remaining transactions in the log must still be performed

### The Sun Network File System (NFS)

- An implementation and a specification of a software system for accessing remote files across LANs (or WANs)
- The implementation is part of the Solaris and SunOS operating systems running on Sun workstations using an unreliable datagram protocol (UDP/IP protocol and Ethernet

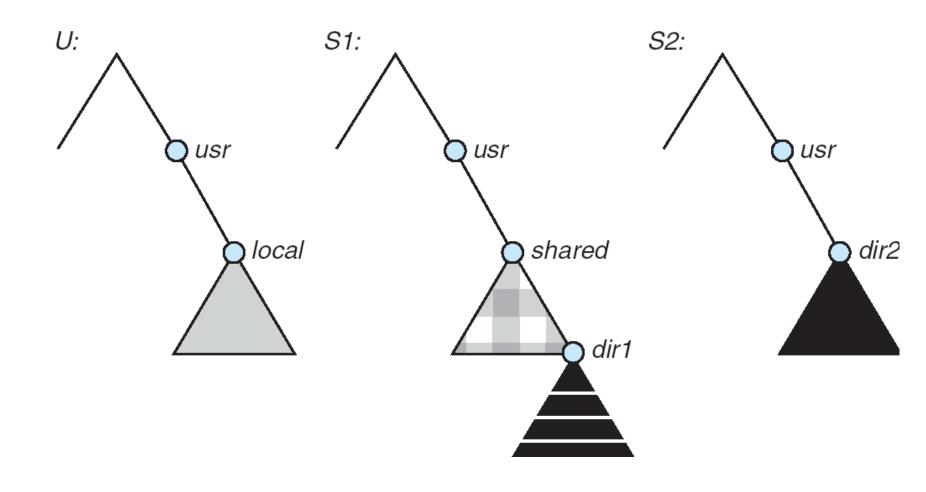
### NFS (Cont.)

- Interconnected workstations viewed as a set of independent machines with independent file systems, which allows sharing among these file systems in a transparent manner
  - A remote directory is mounted over a local file system directory
    - The mounted directory looks like an integral subtree of the local file system, replacing the subtree descending from the local directory
  - Specification of the remote directory for the mount operation is nontransparent; the host name of the remote directory has to be provided
    - Files in the remote directory can then be accessed in a transparent manner
  - Subject to access-rights accreditation, potentially any file system (or directory within a file system), can be mounted remotely on top of any local directory

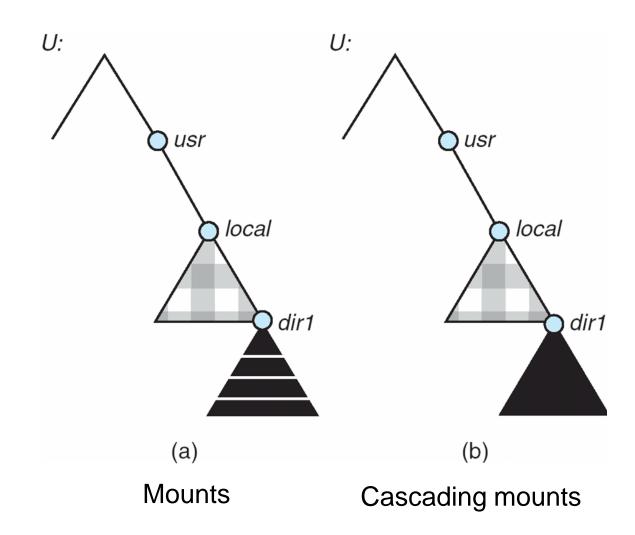
### NFS (Cont.)

- NFS is designed to operate in a heterogeneous environment of different machines, operating systems, and network architectures; the NFS specifications independent of these media
- This independence is achieved through the use of RPC primitives built on top of an External Data Representation (XDR) protocol used between two implementation-independent interfaces
- The NFS specification distinguishes between the services provided by a mount mechanism and the actual remote-file-access services

### **Three Independent File Systems**



## **Mounting in NFS**



#### **NFS Mount Protocol**

- Establishes initial logical connection between server and client
- Mount operation includes name of remote directory to be mounted and name of server machine storing it
  - Mount request is mapped to corresponding RPC and forwarded to mount server running on server machine
  - Export list specifies local file systems that server exports for mounting, along with names of machines that are permitted to mount them
- Following a mount request that conforms to its export list, the server returns a file handle—a key for further accesses
- File handle a file-system identifier, and an inode number to identify the mounted directory within the exported file system
- The mount operation changes only the user's view and does not affect the server side

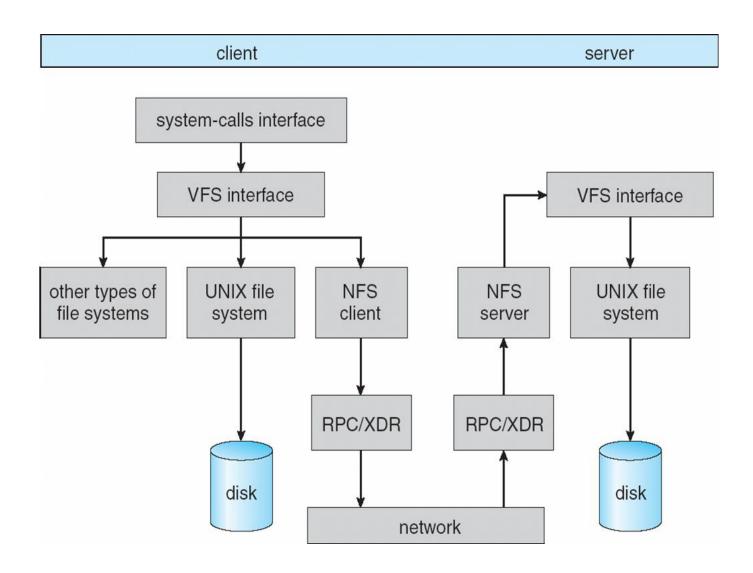
#### **NFS Protocol**

- Provides a set of remote procedure calls for remote file operations. The procedures support the following operations:
  - searching for a file within a directory
  - reading a set of directory entries
  - manipulating links and directories
  - accessing file attributes
  - reading and writing files
- NFS servers are stateless; each request has to provide a full set of arguments
  - (NFS V4 is just coming available very different, stateful)
- Modified data must be committed to the server's disk before results are returned to the client (lose advantages of caching)
- The NFS protocol does not provide concurrency-control mechanisms

#### **Three Major Layers of NFS Architecture**

- UNIX file-system interface (based on the open, read, write, and close calls, and file descriptors)
- Virtual File System (VFS) layer distinguishes local files from remote ones, and local files are further distinguished according to their file-system types
  - The VFS activates file-system-specific operations to handle local requests according to their file-system types
  - Calls the NFS protocol procedures for remote requests
- NFS service layer bottom layer of the architecture
  - Implements the NFS protocol

#### **Schematic View of NFS Architecture**



#### **NFS Path-Name Translation**

- Performed by breaking the path into component names and performing a separate NFS lookup call for every pair of component name and directory vnode
- To make lookup faster, a directory name lookup cache on the client's side holds the vnodes for remote directory names

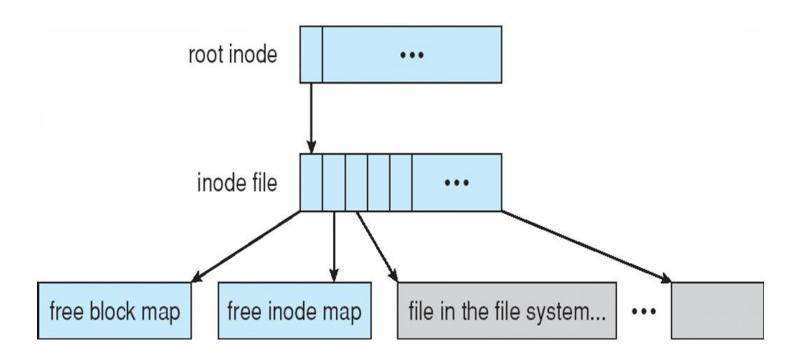
### **NFS Remote Operations**

- Nearly one-to-one correspondence between regular UNIX system calls and the NFS protocol RPCs (except opening and closing files)
- NFS adheres to the remote-service paradigm, but employs buffering and caching techniques for the sake of performance
- File-blocks cache when a file is opened, the kernel checks with the remote server whether to fetch or revalidate the cached attributes
  - Cached file blocks are used only if the corresponding cached attributes are up to date
- File-attribute cache the attribute cache is updated whenever new attributes arrive from the server
- Clients do not free delayed-write blocks until the server confirms that the data have been written to disk

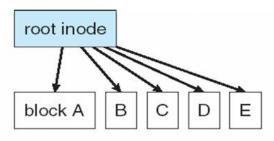
### **Example: WAFL File System**

- Used on Network Appliance "Filers" distributed file system appliances
- "Write-anywhere file layout"
- Serves up NFS, CIFS, http, ftp
- Random I/O optimized, write optimized
  - NVRAM for write caching
- Similar to Berkeley Fast File System, with extensive modifications

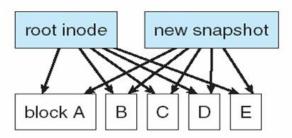
### The WAFL File Layout



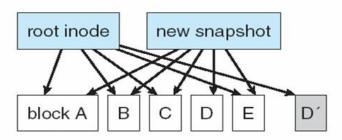
### **Snapshots in WAFL**



(a) Before a snapshot.



(b) After a snapshot, before any blocks change.



(c) After block D has changed to D´.

#### 11.02

file permissions

file dates (create, access, write)

file owner, group, ACL

file size

file data blocks or pointers to file data blocks

# **End of Chapter 11**