Overview

- Shared memory machines
- Programming strategies for shared memory machines
- Allocating shared data for IPC
- Processes and threads
- MT-safety issues
- Coordinated access to shared data
  - Locks
  - Semaphores
  - Condition variables
  - Barriers
- Further reading
Shared Memory Machines

- Single address space
- Shared memory
  - Single bus (UMA)
  - Interconnect with memory banks (NUMA)
  - Cross-bar switch
- Distributed shared memory (DSM)
  - Logically shared, physically distributed

**Shared memory UMA machine with a single bus**

**Shared memory NUMA machine with memory banks**

**DSM**

**Shared memory multiprocessor with cross-bar switch**
Programming Strategies for Shared Memory Machines

- Use a *specialized programming language* for parallel computing
  - For example: HPF, UPC
- Use *compiler directives* to supplement a sequential program with parallel directives
  - For example: OpenMP
- Use *libraries*
  - For example: ScaLapack (though ScaLapack is primarily designed for distributed memory)
- Use *heavyweight processes and a shared memory API*
- Use *threads*
- Use a *parallelizing compiler* to transform (part of) a sequential program into a parallel program
The UNIX system call `fork()` creates a new process
- `fork()` returns 0 to the child process
- `fork()` returns process ID (pid) of child to parent

System call `exit(n)` joins child process with parent and passes exit value `n` to it

Parent executes `wait(&n)` to wait until one of its children joins, where `n` is set to the exit value

System and user processes form a tree

---

**Fork-join of processes**

**Process tree**
Fork-Join

Process 1

```c
... …
… pid = fork();
if (pid == 0)
{ … // code for child
  exit(0);
} else
{ … // parent code continues
  wait(&n); // join
}
… // parent code continues
```

SPMD program
Fork-Join

Process 1

```
...  
...  
pid = fork();
if (pid == 0)
  { ... // code for child
    exit(0);
  } else
  { ... // parent code continues
    wait(&n); // join
  }
... // parent code continues
...  
```

SPMD program

Process 2

```
...  
...  
pid = fork();
if (pid == 0)
  { ... // code for child
    exit(0);
  } else
  { ... // parent code continues
    wait(&n); // join
  }
... // parent code continues
...  
```

Copy of program, data, and file descriptors (operations by the processes on open files will be independent)
Fork-Join

Process 1

...  
    ...  
    pid = fork();  
    if (pid == 0)  
        { ... // code for child  
          exit(0);  
        } else  
        { ... // parent code continues  
          wait(&n); // join  
        }  
    ... // parent code continues  

Process 2

...  
    ...  
    pid = fork();  
    if (pid == 0)  
        { ... // code for child  
          exit(0);  
        } else  
        { ... // parent code continues  
          wait(&n); // join  
        }  
    ... // parent code continues  

SPMD program  Copy of program and data
**Fork-Join**

**Process 1**

```
... ...
pid = fork();
if (pid == 0)
{ ...
    // code for child
    exit(0);
}
else
{ ...
    // parent code continues
    wait(&n); // join
}
...// parent code continues ...
```

**SPMD program**

**Process 2**

```
... ...
pid = fork();
if (pid == 0)
{ ...
    // code for child
    exit(0);
}
else
{ ...
    // parent code continues
    wait(&n); // join
}
... // parent code continues ...
```

**Copy of program and data**
Fork-Join

SPMD program

```
... ...
pid = fork();
if (pid == 0)
{ ... // code for child
    exit(0);
} else
{ ... // parent code continues
    wait(&n); // join
}
... // parent code continues ...
```

```
... ...
pid = fork();
if (pid == 0)
{ ... // code for child
    exit(0);
} else
{ ... // parent code continues
    wait(&n); // join
}
... // parent code continues ...
```

Terminated
Creating Shared Data for IPC

- **Interprocess communication (IPC) via shared data**
- Processes do not automatically share data
- Use files to share data
  - Slow, but portable
- Unix system V `shmget()`
  - Allocates shared pages between two or more processes
- BSD Unix `mmap()`
  - Uses file-memory mapping to create shared data in memory
  - Based on the principle that files are shared between processes

---

- `shmget()`
  - returns the shared memory identifier for a given key (key is for naming and locking)

- `shmat()`
  - attaches the segment identified by a shared memory identifier and returns the address of the memory segment

- `shmctl()`
  - deletes the segment with `IPC_RMID` argument

- `mmap()`
  - returns the address of a mapped object described by the file id returned by `open()`

- `munmap()`
  - deletes the mapping for a given address
shmget vs mmap

```c
#include <sys/types.h>
#include <sys/ipc.h>
#include <sys/shm.h>

size_t len; // size of data we want
void *buf; // to point to shared data
int shmid;

// or IPC_PRIVATE
shmid = shmget(key,
    len,
    IPC_CREAT|0666);

if (shmid == -1) ... // error
buf = shmat(shmid, NULL, 0);
if (buf == (void*)-1) ... // error

... fork(); // parent and child use buf
...
wait(&n);
shmctl(shmid, IPC_RMID, NULL);
```

```c
#include <sys/types.h>
#include <sys/mman.h>

size_t len; // size of data we want
void *buf; // to point to shared data

buf = mmap(NULL,
            len,
            PROT_READ|PROT_WRITE,
            MAP_SHARED|MAP_ANON,
            -1, // fd=-1 is unnamed
            0);

if (buf == MAP_FAILED) ... // error
...
fork(); // parent and child use buf
...
wait(&n);
munmap(buf, len);
...```

Tip: use `ipcs` command to display
IPC shared memory status of a system
Threads

- *Threads of control* operate in the same memory space, sharing code and data
  - Data is implicitly shared
  - Consider data on a thread’s stack private
- Many OS-specific thread APIs
  - Windows threads, Linux threads, Java threads, …
- POSIX-compliant Pthreads:

  ```c
  pthread_create()
  start a new thread
  
  pthread_join()
  wait for child thread to join
  
  pthread_exit()
  stop thread
  ```

Thread creation and join
Detached Threads

- Detached threads do not join
- Use `pthread_detach(thread_id)`
- Detached threads are more efficient
- Make sure that all detached threads terminate before program terminates
Process vs Threads

What happens when we fork a process that executes multiple threads?
Does fork duplicate only the calling thread or all threads?
 Thread Pools

- **Thread pooling** (or *process pooling*) is an efficient mechanism
- One *master thread* dispatches jobs to worker threads
- *Worker threads* in pool never terminate and keep accepting new jobs when old job done
- Jobs are communicated to workers via a *shared job queue*
- Best for irregular job loads

![Diagram of thread pools](image)
MT-Safety

- Routines must be multi-thread safe (MT-safe) when invoked by more than one thread
- Non-MT-safe routines must be placed in a critical section, e.g. using a mutex lock (see later)
- Many C libraries are not MT-safe
  - Use `libroutine_r()` versions that are “reentrant”
  - When building your own MT-safe library, use `#define _REENTRANT`
- Always make your routines MT-safe for reuse in a threaded application
- Use locks when necessary (see next slides)

Use of a non-MT-safe routine

```c
time_t clk = clock();
char *txt = ctime(&clk);
printf("Current time: %s\n", txt);
```

Use of the reentrant version of `ctime`

```c
static int counter = 0;
int count_events()
{ return counter++;
}
```

Is this routine MT-safe?
What can go wrong?

```c
time_t clk = clock();
char txt[32];
ctime_r(&clk, txt);
printf("Current time: %s\n", txt);
```
Coordinated Access to Shared Data (Such as Job Queues)

- Reading and writing shared data by more than one thread or process requires coordination with locking.
- Cannot update shared variables simultaneously by more than one thread.

```c
static int counter = 0;
int count_events()
{
    return counter++;
}
```

```c
static int counter = 0;
int count_events()
{
    int n;
    pthread_mutex_lock(&lock);
    n = counter++;  // acquire lock
    pthread_mutex_unlock(&lock);
    return n-1;    // release lock
}
```

```
reg1 = M[counter] = 3
reg2 = reg1 + 1 = 4
M[counter] = reg2 = 4
return reg1 = 3

Thread 1
```

```
reg1 = M[counter] = 3
reg2 = reg1 + 1 = 4
M[counter] = reg2 = 4
return reg1 = 3

Thread 2
```

```
acquire lock
reg1 = M[counter] = 3
reg2 = reg1 + 1 = 4
M[counter] = reg2 = 4
release lock

Thread 1
```

```
acquire lock
... wait
... wait
reg1 = M[counter] = 4
reg2 = reg1 + 1 = 5
M[counter] = reg2 = 5
release lock

Thread 2
```
Spinlocks

- Spin locks use *busy waiting* until a condition is met
- Naïve implementations are almost always incorrect

```
// initially lock = 0
while (lock == 1) // do nothing
lock = 1;
... critical section ...
lock = 0;
```

Two or more threads want to enter the critical section, what can go wrong?
Spinlocks

- Spin locks use busy waiting until a condition is met
- Naïve implementations are almost always incorrect

Thread 1

while (lock == 1)  
    ; // do nothing
lock = 1;
    ... critical section ...
lock = 0;

Thread 2

while (lock == 1)  
    ... 
lock = 1;
    ... critical section ...
lock = 0;

This ordering works
Spinlocks

- Spin locks use busy waiting until a condition is met
- Naïve implementations are almost always incorrect

Thread 1

```c
while (lock == 1) {
    // do nothing
    lock = 1;
    ... critical section ...
    lock = 0;
}
```

This statement interleaving leads to failure

Thread 2

```c
while (lock == 1) {
    ...
    lock = 1;
    ... critical section ...
    lock = 0;
}
```

Both threads end up executing the critical section!
Spinlocks

- Spin locks use busy waiting until a condition is met
- Naïve implementations are almost always incorrect

Thread 1

while (lock == 1)  // do nothing
lock = 1;
... critical section ...
lock = 0;

Compiler optimizes the code!

Thread 2

while (lock == 1)
...
lock = 1;
... critical section ...
lock = 0;

Useless assignment removed
Assignment can be moved by compiler

Atomic operations such as atomic “test-and-set” instructions must be used
(these instructions are not reordered or removed by compiler)
Spinlocks

- Advantage of spinlocks is that the kernel is not involved
- Better performance when acquisition waiting time is short
- Dangerous to use in a uniprocessor system, because of priority inversion
- No guarantee of fairness and a thread may wait indefinitely in the worst case, leading to starvation

```c
void spinlock_lock(spinlock *s) {
    while (TestAndSet(s))
        while (*s == 1)
            ;
}

void spinlock_unlock(spinlock *s) {
    *s = 0;
}
```

Correct and efficient spinlock operations using atomic TestAndSet assuming hardware supports cache coherence protocol

Note: TestAndSet(int *n) sets n to 1 and returns old value of n
Semaphores

- A semaphore is an integer-valued counter
- The counter is incremented and decremented by two operations signal (or post) and wait, respectively
  - Traditionally called V and P (Dutch “verhogen” and “probeer te verlagen”)
- When the counter \( \leq 0 \) the wait operation blocks and waits until the counter \( > 0 \)

```c
sem_post(sem_t *s)
{
    (*s)++;
}
```

```c
sem_wait(sem_t *s)
{
    while (*s <= 0)
        ; // do nothing
    (*s)--;
}
```

Note: actual implementations of POSIX semaphores use atomic operations and a queue of waiting processes to ensure fairness
Semaphores

- A two-valued (= binary) semaphore provides a mechanism to implement mutual exclusion (mutex)
- POSIX semaphores are named and have permissions, allowing use across a set of processes

```
#include "semaphore.h"

sem_t *mutex = sem_open("lock371", O_CREAT, 0600, 1);
...
sem_wait(mutex);
// sem_trywait() to poll state
...
... critical section ...
...
sem_post(mutex);
... sem_close(mutex);
```

Tip: use `ipcs` command to display IPC semaphore status of a system
Pthread Mutex Locks

- POSIX mutex locks for thread synchronization
  - Threads share user space, processes do not

- Pthreads is available for Unix/Linux and Windows ports

```c
pthread_mutex_t mylock;
pthread_mutex_init(&mylock, NULL);
...
pthread_mutex_lock(&mylock);
... critical section ...
...
pthread_mutex_unlock(&mylock);
...
pthread_mutex_destroy(&mylock);
```

- `pthread_mutex_init()` initialize lock
- `pthread_mutex_lock()` lock
- `pthread_mutex_unlock()` unlock
- `pthread_mutex_trylock()` check if lock can be acquired
Using Mutex Locks

- Locks are used to synchronize shared data access from any part of a program, not just the same routine executed by multiple threads.
- Multiple locks should be used, each for a set of shared data items that is disjoint from another set of shared data items (no single lock for everything).

```c
pthread_mutex_lock(&array_A_lck);
... A[i] = A[i] + 1 ...
pthread_mutex_unlock(&array_A_lck);

pthread_mutex_lock(&queue_lck);
... add element to shared queue ...
pthread_mutex_unlock(&queue_lck);

pthread_mutex_lock(&array_A_lck);
pthread_mutex_unlock(&array_A_lck);

pthread_mutex_lock(&queue_lck);
... remove element from shared queue ...
pthread_mutex_unlock(&queue_lck);
```

Lock operations on array A

Lock operations on a queue

What if threads may or may not update some of the same elements of an array, should we use a lock for every array element?
**Condition Variables**

- *Condition variables* are associated with mutex locks
- Provide signal and wait operations *within* critical sections

```c
lock(mutex)
if (cannot continue)
    wait(mutex, event)
...
unlock(mutex)
```

```c
lock(mutex)
...
signal(mutex, event)
...
unlock(mutex)
```

*Can’t use semaphore wait and signal here: what can go wrong when using semaphores?*
Condition Variables

**signal** releases one waiting thread (if any)

Process 1

```plaintext
lock(mutex)
if (cannot continue)
  wait(mutex, event)
...
unlock(mutex)
```

Process 2

```plaintext
lock(mutex)
...
signal(mutex, event)
...
unlock(mutex)
```

**wait** blocks until a signal is received When blocked, it releases the mutex lock, and reacquires the lock when wait is over
Producer-Consumer Example

- Producer adds items to a shared container, when not full
- Consumer picks an item from a shared container, when not empty

### A consumer

```c
while (true)
{
    lock(mutex)
    if (container is empty)
        wait(mutex, notempty)
    get item from container
    signal(mutex, notfull)
    unlock(mutex)
}
```

### A producer

```c
while (true)
{
    lock(mutex)
    if (container is full)
        wait(mutex, notfull)
    add item to container
    signal(mutex, notempty)
    unlock(mutex)
}
```

Condition variables associated with mutex
Semaphores versus Condition Variables

- Semaphores:
  - Semaphores must have matching signal-wait pairs, that is, the semaphore counter must stay balanced
  - One too many waits: one waiting thread is indefinitely blocked
  - One too many signals: two threads may enter critical section that is guarded by semaphore locks

- Condition variables:
  - A signal can be executed at any time
  - When there is no wait, signal does nothing
  - If there are multiple threads waiting, signal will release one

- Both provide:
  - Fairness: waiting threads will be released with equal probability
  - Absence of starvation: no thread will wait indefinitely
Pthreads Condition Variables

- Pthreads supports condition variables
- A condition variable is always used in combination with a lock, based on the principle of “monitors”

**Declarations**

```c
pthread_mutex_t mutex;
pthread_cond_t notempty, notfull;
```

**Initialization**

```c
pthread_mutex_init(&mutex, NULL);
pthread_cond_init(&notempty, NULL);
pthread_cond_init(&notfull, NULL);
```

**A consumer**

```c
while (1)
{
    pthread_mutex_lock(&mutex);
    if (container is empty)
        pthread_cond_wait(&mutex, &notempty);
    get item from container
    pthread_cond_signal(&mutex, &notfull);
    pthread_mutex_unlock(&mutex);
}
```

**A producer**

```c
while (1)
{
    pthread_mutex_lock(&mutex);
    if (container is full)
        pthread_cond_wait(&mutex, &notfull);
    add item to container
    pthread_cond_signal(&mutex, &notempty);
    pthread_mutex_unlock(&mutex);
}
```
Monitor with Condition Variables

- A monitor is a concept
- A monitor combines a set of shared variables and a set of routines that operate on the variables
- Only one process may be active in a monitor at a time
  - All routines a synchronized by implicit locks (like an entry queue)
  - Shared variables are safely modified under mutex
- Condition variables are used for signal and wait within the monitor routines
  - Like a wait queue

Only P1 executes a routine, P0 waits on a signal, and P2, P3 are in the entry queue to execute next when P1 is done (or moved to the wait queue)
Barriers

- A *barrier* synchronization statement in a program blocks processes until all processes have arrived at the barrier.
- Frequently used in data parallel programming (implicit or explicit) and an essential part of BSP.

*Each process produces part of shared data X*

*barrier*

*Processes use shared data X*
Two-Phase Barrier with Semaphores for $P$ Processes

```c
sem_t *mutex = sem_open("mutex-492", O_CREAT, 0600, 1);
sem_t *turnstile1 = sem_open("ts1-492", O_CREAT, 0600, 0);
sem_t *turnstile2 = sem_open("ts2-492", O_CREAT, 0600, 1);
int count = 0;
...
sem_wait(mutex);
    if (++count == P)
        { sem_wait(turnstile2);
            sem_signal(turnstile1);
        }
sem_signal(mutex);
sem_wait(turnstile1);
sem_signal(turnstile1);
sem_wait(mutex);
    if (--count == 0)
        { sem_wait(turnstile1);
            sem_signal(turnstile2);
        }
sem_signal(mutex);
sem_wait(turnstile2);
sem_signal(turnstile2);
```

Rendezvous

Barrier sequence

Critical point
Pthread Barriers

```
pthread_barrier_t barrier;

pthread_barrier_init(
    barrier,
    NULL,  // attributes
    count); // number of threads
...
...
```

- Barrier using POSIX pthreads (advanced realtime threads)
- Specify number of threads involved in barrier syncs in initialization

```c
pthread_barrier_init() initialize barrier with thread count
...
```
Further Reading

- [PP2] pages 230-247
- [HPC] pages 191-218
- Optional:
  - [HPC] pages 219-240
  - Pthread manuals (many online)
  - “The Little Book of Semaphores” by Allen Downey
    http://www.greenteapress.com/semaphores/downey05semaphores.pdf